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**United Nations Conference to Review Progress Made  
in the Implementation of the Programme of Action to  
Prevent, Combat and Eradicate the Illicit Trade in Small  
Arms and Light Weapons in All Its Aspects**  
New York, 26 June-7 July 2006

**Compilation of Proposals and Amendments to the  
President's Working Paper  
(A/CONF.192/2006/RC/WP.4)**

**Member States Comments to the President's Non Paper from 27 June 2006 - 3 July 2006**

**I. Preamble**

I.1 1. We, the States participating in the United Nations Conference to Review Progress Made in the Implementation of the Programme of Action to Prevent, Combat and Eradicate the Illicit Trade in Small Arms and Light Weapons in All Its Aspects, having met in New York from 26 June to 7 July 2006,

Make the following addition:

"Recalling General Assembly resolutions A/RES/56/24 V, A/RES/58/241, A/RES/59/86, A/RES/60/81, we, the States participating in the United Nations Conference to Review Progress Made in the Implementation of the Programme of Action to Prevent, Combat and Eradicate the Illicit Trade in Small Arms and Light Weapons in All Its Aspects, having met in New York from 26 June to 7 July 2006,"

Comments: If we refer to other GA resolutions, omnibus resolutions, at least 58/241 in 2004, designated by PoA to decide date and venue of the Conference, should be referred to, as they endorsed convening the Conference and its date and venue.

I.2 2. Reaffirm our strong commitment to fully implement and uphold the principles contained in the Programme of Action to Prevent, Combat and Eradicate the Illicit Trade in Small Arms and Light Weapons in All Its Aspects, adopted at the United Nations Conference on the Illicit Trade in Small Arms and Light Weapons in All Its Aspects on 20 July 2001 (A.CONF.192/15), and resolve to undertake measures elaborated in sections II to IV below for its strengthened future implementation,

Make the following change:

"Reaffirm our strong commitment to fully implement the Programme of Action to Prevent, Combat and Eradicate the illicit Trade in Small Arms and Light Weapons in All Its Aspects, adopted at the United Nations Conference on the Illicit Trade in Small Arms and Light Weapons in All Its Aspects on 20 July 2001 (A.CONF.192/15), and resolve to undertake measures for its strengthened future implementation

I.3 3. Welcome the adoption of the International Instrument to Enable States to Identify and Trace, in a Timely and Reliable Manner, Illicit Small Arms and Light Weapons by the General Assembly at its 60th session as an important step in the follow-up to the 2001 United Nations Conference on the Illicit Trade in Small Arms and Light Weapons in All Its Aspects,

Comment:

Reorder I.3 to become I.4

Make the following change:

"*Take note with appreciation of* the International Instrument to Enable States to Identify and Trace, in a Timely and Reliable Manner, Illicit Small Arms and Light Weapons by the General Assembly at its 60th session as an important step in the follow-up to the 2001 United Nations Conference on the Illicit Trade in Small Arms and Light Weapons in All Its Aspects,"

Make the following changes:

"*Take note* the adoption of the International Instrument to Enable States to Identify and Trace, in a Timely and Reliable Manner, Illicit Small Arms and Light Weapons by the General Assembly at its 60th session as *a first* step in the follow-up to the 2001 United Nations Conference on the Illicit Trade in Small Arms and Light Weapons in All Its Aspects,"

I.4

4. Welcome the entry into force of the Protocol against the Illicit Manufacturing of and Trafficking in Firearms, their Parts and Components and Ammunition, supplementing the United Nations Convention against Transnational Organized Crime, on 3 July 2005, and recognizing that the Protocol establishes standards and procedures that complement and reinforce efforts to prevent, combat and eradicate the illicit trade in small arms and light weapons in all its aspects,

Comment:

Reorder I.4 to become I.3

Make the following change:

"*Note* entry into force of the Protocol against the Illicit Manufacturing of and Trafficking in Firearms, their Parts and Components Ammunition, supplementing the United Nations Convention against Transnational Organized Crime, on 3 July 2005, and recognizing that the Protocol establishes standards and procedures that complement and reinforce efforts to prevent, combat and eradicate the illicit trade in small arms and light weapons in all its aspects,"

4bis:

"Welcome the adoption of instruments such as, OAS/CICAD Model Regulation for the Control of the International Movement of Firearms, the Inter-American Convention Against the illicit Manufacturing and Trafficking in Firearms, Ammunition, Explosives, and Other Related Materials, the Southern African Development Community Protocol on the Control of Firearms, Ammunition and Other Related Materials, the Nairobi Protocol for the Prevention, Control and Reduction of Small Arms and Light Weapons in the Great Lakes Region and the Horn of Africa, and the ECOWAS Convention on Small Arms and Light Weapons, Their Ammunition and Other Associated Material and the OSCE Document on Small Arms and Light Weapons."

I.5

5. Acknowledge that progress has been made at the national, regional and global levels, but recognizing that much more is required to be done to address the illicit trade in small arms and light weapons in all its aspects and to fully implement the Programme of Action.

Change so that it reads:

"Acknowledge that progress has been made at the national, regional and global levels, but recognizing that States can do more to address the illicit trade in small arms and light weapons in all its aspects and to fully implement the Programme of Action."

Make the following changes:

"Acknowledge that progress has been made at the national, regional and global levels, but recognizing that much more is required to be done, particularly in the international assistance and cooperation, to address the illicit trade in small arms and light weapons in all its aspects and to fully implement the Programme of Action."

There is an agreement in this group of Member States that they should not initiate a discussion on reopening the Preamble; if others try to reopen it, this group of States could express sympathy for the importance of concepts that were embodied in the previous draft. This group would formulate the following requests, to reinsert the following:

3. Encouraged by the support expressed by the Heads of State and Government at the 2005 World Summit to the implementation of the Programme of Action and their recognition of the negative effect of the illicit trade in small arms and light weapons on development, peace, security and human rights.

4. Recalling the United Nations Millennium Declaration and its resolution calling for concerted action to end illicit traffic in small arms and light weapons, . .

7. Convinced of the need to address the problems associated with the illicit trade in small arms and light weapons in all its aspects in a coordinated and interdisciplinary manner, taking into account, inter alia, security, conflict prevention and resolution, crime prevention, humanitarian, health and development concerns.

8. Underlining the close linkage between terrorism, organized crime, trafficking in drugs and precious minerals and the illicit trade in small arms and light weapons and recognizing that the prevention, combat and eradication of the illicit trade in small arms and light weapons become even more urgent in the context of the intensified international action against terrorism.

9. Reiterating that the Programme of Action through the prevention, combat and eradication of the illicit trade in small arms and light weapons in all its aspects, is a key element in supporting conflict prevention and resolution, sustainable post-conflict reconstruction and promoting lasting peace and security, as well as crime prevention and thereby creating conditions for sustainable human and socio-economic development.

There is an agreement in this groups of States to reinsert former para. 18:

"Recognizing that the illicit trade in ammunition is intrinsically linked to the illicit trade in small arms and light weapons."

**New paragraphs or additional Comments for Section I**

Insert the following two new paragraphs into the preamble:

"Recalling the support expressed by the Heads of State and Government at the 2005 World Summit as well as the United Nations Millennium Declaration and its resolution calling for concerted action to end illicit traffic in small arms and light weapons;"

"Recalling General Assembly Resolution A/RES/60/68 on "Addressing the negative humanitarian and development impact of the illicit manufacture, transfer and circulation of small arms and light weapons and their excessive accumulation";"

Add this paragraph:

"Reaffirm the right of each State to manufacture, import and retain small arms and light weapons for its self-defense and security needs."

4bis:

"Welcoming the Agenda for Humanitarian Action, adopted by consensus by States Parties to the Geneva Convention at the 28th International Conference of the Red Cross and Red Crescent in December 2003, which, in recognition of States' obligation to respect and ensure respect for international humanitarian law, calls on States to strengthen controls on the availability of weapons, in particular on small arms and light weapons, and to make respect for humanitarian law one of the fundamental criteria on which arms transfer decisions are assessed."

5bis:

"Welcoming the adoption of the UNSC resolution 1625 (2005), reaffirm the need to adopt a broad strategy of conflict prevention, which addresses the root causes of armed conflict and political and social crises in a comprehensive manner, including by promoting sustainable development, poverty eradication, national reconciliation, good governance, democracy, gender equality, the rule of law and respect for and protection of human rights, (from Preamble of the UNSC RES 1625 (2005))."

Add the following two paragraphs after the paragraph I.1:

Reaffirm our respect for and commitment to international law and the purposes and principles enshrined in the Charter of the United Nations, including the sovereign equality of States, territorial integrity, the peaceful resolution of international disputes, non-intervention and non-interference in the internal affairs of States,

Reaffirm also the inherent right to individual or collective self-defence in accordance with Article 51 of the Charter of the United Nations, as well as the right of each State to manufacture, import and retain small arms and light weapons for its self-defence and security needs,

Par. 8, 9, 10, 11, 12 and 15 of the Programme of Action: Cuba strongly supports the inclusion of these very relevant paragraphs in the Final Document.

2.1. Reaffirming our respect for and commitment to international law and the purposes and principles enshrined in the Charter of the United Nations, including the sovereign equality of States, territorial integrity, the peaceful resolution of international disputes, non-intervention and non-interference in the internal affairs of States,

2.2. Reaffirming the inherent right to individual or collective self-defence in accordance with Article 51 of the Charter of the United Nations,

2.3. Reaffirming also the right of each State to manufacture, import and retain small arms and light weapons for its self-defence and security needs, as well as for its capacity to participate in peacekeeping operations in accordance with the Charter of the United Nations,

2.4. Reaffirming the right of self-determination of all peoples, taking into account the particular situation of peoples under colonial or other forms of alien domination or foreign occupation, and recognizing the right of peoples to take legitimate action in accordance with the Charter of the United Nations to realize their inalienable right of self-determination. This shall not be construed as authorizing or encouraging any action that would dismember or impair, totally or in part, the territorial integrity or political unity of sovereign and independent States conducting themselves in compliance with the principle of equal rights and self-determination of peoples.

## **II. Concrete Measures to Strengthen Implementation at National, Regional and Global Levels**

Make the following change:

II. Concrete Measures towards further Implementation at National, Regional and Global Levels

II.1

1. We, the States participating in this Conference resolve to undertake the following concrete measures to strengthen implementation at the national, regional and global levels:

Make the following change:

"We, the States participating in this Conference agree to consider the following measures to strengthen implementation at the national, regional and global levels:"

Make the following change:

"We, the States participating in this Conference resolve to undertake the following concrete measures to strengthen implementation at the national, regional and global levels, taking into account the different situations, capacities and priorities of States and regions as well as the characteristics, scope and magnitude of the illicit trade in small arms and light weapons in each State or region:"

Make the following change:

"We, the States participating in this Conference resolve to undertake the following concrete measures towards further implementation at the national, regional and global levels:"

**At the national level**

II.2	<p>2. To intensify efforts to put in place, where they do not exist, adequate laws, regulations and administrative procedures to exercise effective control over the production of small arms and light weapons within their areas of jurisdiction and over the export, import, transit and retransfer of such weapons and, where they do exist, to urge their strict enforcement in order to prevent illegal manufacture of and illicit trafficking in small arms and light weapons, or their diversion to unauthorized recipients.</p>
<p>Make the following change so that it reads:  "<u>To put in place</u>, where they do not exist, adequate laws, regulations and administrative procedures to exercise effective control over the production of small arms and light weapons within their areas of jurisdiction and over the export, import, transit and retransfer of <u>such weapons, in order</u> to prevent illegal manufacture of and illicit trafficking in small arms and light weapons, or their diversion to unauthorized recipients."</p>	
<p>In last line, change 'authorized recipients' for "<u>non state end users.</u>"</p>	
<p>Add the following:  "To intensify efforts to put in place, where they do not exist, adequate laws, regulations and administrative procedures to exercise effective control over the production <u>and possession</u> of small arms and light weapons within their areas of jurisdiction and over the export, import, transit and retransfer of such weapons and, where they do exist, to urge their strict enforcement in order to prevent illegal manufacture of and illicit trafficking in small arms and light weapons, or their diversion to unauthorized recipients."</p>	
<p>Delete the "to urge", so that it reads:  "To intensify efforts to put in place, where they do not exist, adequate laws, regulations and administrative procedures to exercise effective control over the production of small arms and light weapons within their areas of jurisdiction and over the export, import, transit and retransfer of such weapons and, <u>where they do exist, their strict enforcement</u> in order to prevent illegal manufacture of and illicit trafficking in small arms and light weapons, or their diversion to unauthorized recipients.</p>	
<p>Delete.  Redundant, PoA II.2</p>	

Make the following change:

"To intensify efforts to put in place, adequate laws, regulations and administrative procedures to exercise effective control over the production of small arms and light weapons within their areas of jurisdiction and over the export, import, transit and retransfer of such weapons and, to urge their strict enforcement in order to prevent illegal manufacture of and illicit trafficking in small arms and light weapons, or their diversion to unauthorized recipients."

Make the following change:

"To intensify efforts to put in place, where they do not exist, adequate laws, regulations and administrative procedures to exercise effective control over the production of small arms and light weapons within their areas of jurisdiction and over the export, import, transit and retransfer of such weapons and, where they do exist, to urge their strict enforcement in order to prevent illegal manufacture of and illicit trade in small arms and light weapons, or their diversion to unauthorized recipients."

Make the following change:

"To intensify efforts to put in place, where they do not exist, adequate laws, regulations and administrative procedures to exercise effective control over the production of small arms and light weapons within their areas of jurisdiction and over the export, import, transit and retransfer of such weapons in order to prevent illegal manufacture of and illicit trafficking in small arms and light weapons, or their diversion to unauthorized recipients, and where they do exist, intensify efforts in their enforcement."

II.3

3. To urge States that have not already done so, to take immediate steps to adopt and implement the necessary legislative or other measures to establish as criminal offences under their domestic law the illegal manufacture, possession, stockpiling and trade of small arms and light weapons within their areas of jurisdiction, in order to ensure that those engaged in such activities can be prosecuted under appropriate national penal codes. To this effect, States are urged to include provisions in national laws and regulations which enable the investigation, prosecution and punishment of export and import control violations, including violations of United Nations Security Council arms embargoes and the illicit use of end-user certificates.

At the end delete the following:

"...including violations of United Nations Security Council arms embargoes..."

Make the following changes so that it reads:

"To urge States that have not already done so, to take immediate steps to adopt and implement the necessary legislative or other measures to establish as criminal offences under their domestic law the illegal manufacture, possession, stockpiling, trade and export or import of small arms and light weapons within their areas of jurisdiction....."

Delete the following, so that it reads:

"... To this effect, States are urged to include provisions in national laws and regulations which enable the investigation, prosecution and punishment of export and import control violations, including violations of United Nations Security Council arms embargoes."

Comments: As "illicit use" is not clear, it should be deleted. If not, we propose the following wording as the scope of "illicit" should be left to States' discretion:

"...Security Council arms embargoes and the illicit use of end-user certificates, subject to their practices and in accordance with their respective national legislation."

Make the following change:

Move the phrase "the illicit use of end-user certificates" to para. 12.

Comment:

Enacting or amending national legislation to take into account Security Council resolutions is onerous burden on small states like those of CARICOM. Some form of assistance in capacity-building would therefore be required.

Delete first part so that paragraph reads:

"States are urged to include provisions in national laws and regulations which enable the investigation, prosecution and punishment of export and import control violations, including violations of United Nations Security Council arms embargoes and the illicit use of end-user certificates."

Comments: Redundant, PoA II.3

Make the following change:

"To urge States, to take immediate steps to adopt and implement the necessary legislative or other measures to establish as criminal offences under their domestic law the illegal manufacture, possession, stockpiling and trade of small arms and light weapons within their areas of jurisdiction, in order to ensure that those engaged in such activities can be prosecuted under appropriate national penal codes. To this effect, States are urged to include provisions in national laws and regulations which enable the investigation, prosecution and punishment of export and import control violations, including violations of United Nations Security Council arms embargoes and the illicit use of end-user certificates."

Make the following changes:

"To intensify efforts to adopt and implement, in the States that have not already done so, the necessary legislative or other measures to establish as criminal offences under their domestic law the illegal manufacture, possession, stockpiling and trade of small arms and light weapons within their areas of jurisdiction, in order to ensure that those engaged in such activities can be prosecuted under appropriate national penal codes."

3bis:

"To intensify efforts to identify groups and individuals engaged in the illegal manufacture, trade, stockpiling, transfer, possession, and financing for acquisition of illicit small arms and light weapons, including through the illicit trade, exploitation and transfer of natural resources, and take action under appropriate national law against such groups and individuals."

3.ter:

"To take all necessary measures, including all legal or administrative means, against any activity that violates a United Nations Security Council arms embargo in accordance with the Charter of the United Nations, including such activities facilitating the financing of illicit trade in small arms and light weapons through the illicit trade and transfer of natural resources."

II.4

4. To encourage States that have not already done so, to adopt adequate laws, regulations and administrative procedures to regulate the possession of small arms and light weapons.

At the end add:

"...with the view to prevent the diversion to the illicit trade."

Make the following changes:

"To encourage States that have not already done so, to adopt adequate laws, regulations and administrative procedures to promote the responsible possession of small arms and light weapons by civilians".

Make the following addition:

"To encourage States that have not already done so, to adopt adequate laws, regulations and administrative procedures to regulate the possession of small arms and light weapons, to prevent the diversion of legally acquired arms to the illicit market."

Delete.

Make the following change:

"To encourage States, to adopt adequate laws, regulations and administrative procedures to regulate the possession of small arms and light weapons."

To make the following change:

"To encourage States that have not already done so, to adopt adequate laws, regulations and administrative procedures to regulate the civilian possession of small arms and light weapons, considering it as an indispensable element for better implementation of the Programme of Action."

Change so that paragraph reads:

"To encourage States that have not already done so to adopt and enforce adequate laws, regulations and administrative measures to prevent the illicit possession of small arms, according to their respective constitutional and legal systems."

Make the following changes:

"To adopt adequate laws, regulations and administrative procedures to regulate the possession of small arms and light weapons."

II.5

5. To improve, where needed, the operational capacity for the enforcement of laws, regulations and administrative measures, including in the areas of import, export, licensing, transit and transshipment controls, stockpile management and security, weapons collection and destruction, marking, record-keeping and customs and border controls.

Make the following change:

"To improve, where needed, the operational capacity for the enforcement of laws, regulations and administrative measures, including in the areas of import, export, licensing, transit and transshipment controls, stockpile management and security, weapons collection and destruction, confiscation and other authorized forms of disposition marking, record-keeping and customs and border controls."

Change the word 'measures' into "procedures."

This word is consistent with previous paragraph (4)

Make the following changes so that it reads:

"To improve, where needed, the operational capacity for the enforcement of laws, regulations and administrative measures, including in the areas of possession (in all its aspects), import, export, licensing, transit and transshipment controls, stockpile management and security, weapons collection and destruction, marking, record-keeping and customs and border controls."

Make the following change:

"To improve, where needed, the operational capacity for the enforcement of laws, regulations and administrative measures, including in the areas of import, export, licensing, transit and transshipment controls, stockpile management and security, weapons collection and disposal, marking, record-keeping and customs and border controls."

Add the following:

"To improve, where needed, the operational capacity for the enforcement of laws, regulations and administrative measures, including in the areas of import, export, licensing, transit and transshipment controls, stockpile management and security, weapons collection and destruction, marking, tracing, record-keeping and customs and border controls."

Make the following change:

"To improve, the operational capacity for the enforcement of laws, regulations and administrative measures, including in the areas of import, export, licensing, transit and transshipment controls, stockpile management and security, weapons collection and destruction, marking, record-keeping and customs and border controls."

Make the following change:

"To improve, where needed, the operational capacity for the enforcement of laws, regulations and administrative measures, including in the areas of import, export, licensing, transit and transshipment controls, stockpile management and security, weapons collection and responsible disposal, preferably through destruction, marking, record-keeping and customs and border controls."

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| II.6 | <p>6. To promptly and fully implement the International Instrument to Enable States to Identify and Trace, in a Timely and Reliable Manner, Illicit Small Arms and Light Weapons, in particular:</p> <ul style="list-style-type: none"><li>(a) by putting in place, where they do not exist, the laws, regulations and administrative procedures needed to ensure the effective implementation of this instrument;</li><li>(b) by designating one or more national points of contact to exchange information and act as a liaison on all matters relating to the implementation of this instrument in accordance with their constitutional process;</li><li>(c) by cooperating on a bilateral and, where appropriate, on a regional and international basis to support the effective implementation of this instrument;</li><li>(d) by submitting reports on their implementation of the instrument to the Secretary General.</li></ul> |
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Make the following amendment:

6c) "by cooperating on a bilateral and, where appropriate, on a regional and international basis, inter alia with the United Nations and INTERPOL, to support the effective implementation of this instrument;..."

Make the following change:

To promptly and fully implement the International Instrument to Enable States to Identify and Trace, in a Timely and Reliable Manner, Illicit Small Arms and Light Weapons, in particular:

- (a) by putting in place, where they do not exist, the laws, regulations and administrative procedures needed to ensure the effective implementation of this instrument in accordance with their constitutional process ;
- (b) by designating one or more national points of contact to exchange information and act as a liaison on all matters relating to the implementation of this instrument;
- (c) by cooperating on a bilateral and, where appropriate, on a regional and international basis to support the effective implementation of this instrument;
- (d) by submitting reports on their implementation of the instrument to the Secretary General.

Make the following change:

"... (d) by submitting information on national marking practices and reporting on their implementation of the instrument to the Secretary General as part of their report on the implementation of the UNPoA .

Make the following change:

"To promptly and fully implement the International Instrument to Enable States to Identify and Trace, in a Timely and Reliable Manner, Illicit Small Arms and Light Weapons, in particular:

- (a) by putting in place, where they do not exist, the laws, regulations and administrative procedures needed to ensure the effective implementation of this instrument, especially in the case of States of manufacture ;
- (b) by designating one or more national points of contact to exchange information and act as a liaison on all matters relating to the implementation of this instrument in accordance with their constitutional process;
- (c) by cooperating on a bilateral and, where appropriate, on a regional and global basis to support the effective implementation of this instrument; bearing in mind the principle of co-responsibility of importers and exporters states in this matter
- (d) by submitting reports on their implementation of the instrument to the Secretary General. "

Make the following change:

. . . (d) "by incorporating information on their implementation of the instrument in their national reports . . ."

Make the following changes:

"a) by putting in place, the laws, regulations and administrative procedures needed to ensure the effective implementation of this instrument in accordance with their constitutional process;

(c) by cooperating on a bilateral and on a regional and international basis to support the effective implementation of this instrument; . . ."

Reinsert the following:

"6c) by cooperating on a bilateral and, where appropriate, on a regional and international basis, inter alia with the United Nations and INTERPOL, to support the effective implementation of this instrument..."

Furthermore, a reference to further strengthening the international instrument on marking and tracing in the future, eg by making it legally binding, could be incorporated.

Make the following changes:

"To promptly and fully implement the International Instrument to Enable States to Identify and Trace, in a Timely and Reliable Manner, Illicit Small Arms and Light Weapons, in particular:

(a) by putting in place, where they do not exist, the laws, regulations and administrative procedures needed to ensure its implementation;

(b) by designating one or more national points of contact to exchange information and act as a liaison on all matters relating to the implementation of this instrument in accordance with their constitutional process;

(c) by cooperating on a bilateral and, where appropriate, on a regional and international basis to support the effective implementation of this instrument;

(d) by submitting a biennial report on the implementation of the instrument to the Secretary General, through reports submitted on implementation of the UNPOA.

II.7

7. Noting that 53 States are parties to the Protocol against the Illicit Manufacturing of and Trafficking in Firearms, their Parts and Components and Ammunition, supplementing the United Nations Convention against Transnational Organized Crime, to call upon those States that have not already done so to accede to, ratify and fully implement the Protocol.

Make the following change:

"Noting that 52 States have ratified the Protocol against the Illicit Manufacturing of and Trafficking in Firearms, their Parts and Components and Ammunition, supplementing the United Nations Convention against Transnational Organized Crime, to call those States that have not already done so to accede to, ratify or fully implement the Protocol."

Make the following change:

"Noting that 53 States...to urge those States that have not already done so to accede to, ratify and fully implement the Protocol."

Make the following change:

"Noting that 53 States are parties to the Protocol against the Illicit Manufacturing of and Trafficking in Firearms, their Parts and Components and Ammunition, supplementing the United Nations Convention against Transnational Organized Crime, to encourage those States that have not already done so to accede to, ratify and fully implement the Protocol."

Make the following change:

"Noting that 53 States are parties and ... are signatories to the Protocol.."

Delete end part so that paragraph reads:

"Noting that 53 States are parties to the Protocol against the Illicit Manufacturing of and Trafficking in Firearms, their Parts and Components and Ammunition, supplementing the United Nations Convention against Transnational Organized Crime."

II.8

8. Noting efforts made by States to strengthen the capacity to assess applications for export authorizations according to national regulations and procedures that cover all small arms and light weapons, to call upon all States to continue their efforts in this respect and to establish or maintain an effective national system of export and import licensing or authorization, as well as measures on international transit and transshipment, for the transfer of all small arms and light weapons, with a view to combating the illicit trade in small arms and light weapons.

Make the following change:

"Noting efforts made by States to strengthen the capacity to assess applications for export authorizations according to strict national regulations and procedures that cover all small arms and light weapons and are consistent with the existing responsibilities of States under relevant international law, taking into account in particular the risk of diversion of these weapons into the illegal trade, to call upon all States to exercise the highest degree of responsibility in the import, export, transfer and re-transfer of small arms and light weapons and continue their efforts in this respect and to establish or maintain an effective national system of export and import licensing or authorization, as well as measures on international transit and transshipment, for the transfer of all small arms and light weapons, with a view to combating the illicit trade in small arms and light weapons."

Make the following changes:

"Noting efforts made by States to assess applications for export authorizations according to strict national regulations and procedures that cover all small arms and light weapons, and are consistent with the existing responsibilities of states under relevant international law, taking into account the particular risk of diversion of these weapons into the illegal trade to continue efforts in this respect and to establish or maintain an effective national system of export and import licensing or authorization, as well as measures on international transit and transshipment, for the transfer of all small arms and light weapons, with a view to combating the illicit trade in small arms and light weapons.

II.9

9. To exercise the highest degree of responsibility in the import, export, transfer and re-transfer of small arms and light weapons, according to States' existing commitments under relevant international law, as well as to apply an end-user certification process, and to consider applying global guidelines for national controls governing transfers of small arms and light weapons.

Make the following amendment:

"To exercise the highest degree of responsibility in the import, export, transfer and retransfer of small arms and light weapons on a case by case basis, according to States' existing commitments under relevant international law, including their obligations under the UN Charter, international humanitarian law, human rights law, and any other relevant international treaties or instruments by which they are bound, as well as to apply and end-user certification process. "

Make the following change:

"... and consider applying agreed global principles ..."

At the end, add:

"...especially dealing with non state end users."

Retain the wording:

"and to consider applying global guidelines for national controls governing transfer of small arms and light weapons"

and add at the end of the sentence:

"such as those agreed in Nairobi in April 2006"

Delete the end section so that the paragraph reads:

"To exercise the highest degree of responsibility in the import, export, transfer and re-transfer of small arms and light weapons, according to States' existing commitments under relevant international law, as well as to apply an end-user certification process."

Make the following change so that the paragraph reads:

"To exercise the highest degree of responsibility in the import, export, transfer and re-transfer of small arms and light weapons, according to States' existing commitments under relevant international law, and to strictly exercise national controls governing transfers of small arms and light weapons."

This section should only consider measures at the national level.

Global guidelines have not been yet negotiated.

"end-user certification process..." appears in paragraph 12, which exclusively addresses the issue of end-user certificate.

Make the following change:

"To exercise the highest degree of responsibility in the import, export, transfer and re-transfer of small arms and light weapons, according to States' existing responsibilities under relevant international law, including international human rights law and international humanitarian law as well, to apply and end-user certification process."

The rest of the paragraph should be deleted.

Make the following change so that paragraph reads:

"To exercise the highest degree of responsibility in the import, export, transfer and re-transfer of small arms and light weapons, according to States' existing commitments under relevant international law, including through utilizing an end-user certification."

Comments: Regarding end-user certification, we have to take into consideration that we do not have yet an agreed standards of end user certification and that export and import regulation system differs from country to country. As for guidelines, though we fully support the idea to elaborate global guidelines, so far we do not have such internationally agreed guidelines and it is appropriate to handle this issue in the section IV. as a follow up of the Conference.

Make the following change and delete rest so that it reads:

"To exercise the highest degree of responsibility in the import, export, transfer and re-transfer of small arms and light weapons, according to States' existing commitments under relevant international law, as well as to apply an end-user certification process."

Make the following change and delete so that it reads:

"To exercise the highest degree of responsibility in the import, export, transfer and re-transfer of small arms and light weapons, according to States' existing commitments under relevant international law, as well as to apply an end-user certification process."

Delete.

Add the following at the end:

"... governing transfers of small arms and light weapons with a view to avoiding their diversion to the illicit market, and to non-authorized uses or users."

Change paragraph to read:

"To exercise the highest degree of responsibility in the import, export, transfer and retransfer of small arms and light weapons, as well as to apply an end-user certification process."

Make the following change:

"To exercise the highest degree of responsibility in the import, export, transfer and re-transfer of small arms and light weapons."

Change this paragraph to the following:

"To exercise the highest degree of responsibility in the import, export, transfer and retransfer of small arms and light weapons on a case by case basis, according to States' existing commitments under relevant international law, including their obligations under the UN Charter, international humanitarian law, human rights law, and any other relevant international treaties or instruments by which they are bound, as well as to apply and [an] end-user certification process."

Change this paragraph to the following:

"To exercise the highest degree of responsibility in the national regulation of the import, export, transfer and re-transfer of small arms and light weapons, on a case by case basis, according to States' existing responsibilities under relevant international law, including their obligations under the UN Charter, international humanitarian law, human rights law, and any other relevant international treaty or instrument by which they are bound.

Make the following change:

"To exercise the highest degree of responsibility in the import, export, transfer and re-transfer of small arms and light weapons, according to States' existing commitments under relevant international law, as well as to apply an end-user certification process."

Delete.

II.10	<p>10. Recognizing that guidelines for national controls must contain technical and normative aspects, acknowledging that they need to be objective, non-discriminatory and transparent, adopted at the multilateral level and taking into account the particularities of each region. These guidelines must also include a clear prohibition to the transfer of small arms and light weapons, understood as exports, imports and transit, which are not expressly authorized by the competent authorities in the country of export, import or transit.</p>
<p>Make the following amendment:  <u>"To develop guidelines recognising that for national controls these must contain technical and normative aspects, acknowledging that they need to be objective, non-discriminatory and transparent, adopted at the multilateral level and taking into account particularities of each region.</u> These guidelines must also include a clear prohibition to the transfer of small arms and light weapons, understood as exports, imports and transit, which are not expressly authorized by the competent authorities in the country of export, import or transit. "</p>	
<p>Make the following change so that the paragraph reads:  <u>"These guidelines must also include a clear prohibition to the transfer of small arms and light weapons, which are not expressly authorized by the competent authorities in the country of export, import or transit. "</u></p>	
<p>Make the following change so that the paragraph reads:  <u>"Recognizing that national controls must contain technical and normative aspects,</u> and must also include a clear prohibition to the transfer of small arms and light weapons, understood as exports, imports and transit, which are not expressly authorized by the competent authorities in the country of export, import or transit."</p>	
<p>This paragraph should remain as it is.</p>	

Delete.

Comments: Though we fully support the idea to elaborate global guidelines, so far we do not have such internationally agreed guidelines. We consider appropriate to handle this issue in the section IV. as a follow up of the Conference. At any rate, this is the only paragraph in which "must" is used in two places. These should be replaced by "should".

Make the following change:

"Recognizing that guidelines for national controls must contain technical and normative aspects, acknowledging that they need to be objective, non-discriminatory and transparent, and taking into account the particularities of each region. These guidelines must also include a clear prohibition to the transfer of small arms and light weapons, understood as exports, imports and transit, which are not expressly authorized by the competent authorities in the country of export, import or transit."

Make the following change:

"To agree on prohibition to the transfer of small arms and light weapons, understood as exports, imports and transit, which are not expressly authorized by the competent authorities in the country of export, import or transit."

Make the following modification so that the paragraph is as follows:

"To agree on prohibiting the transfer of small arms and light weapons, understood as exports, imports and transit, which are not expressly authorized by the competent authorities in the country of export, import or transit"

Change paragraph to read:

"Recognizing that principles for national controls must contain technical and normative aspects, acknowledging that they need to be objective and transparent. These principles should also include a clear prohibition to the transfer of small arms and light weapons, understood as exports, imports and transit, which are not expressly authorized by the competent authorities in the country of export, import or transit."

Change this paragraph to the following:

"To develop guidelines recognising that for national controls these must contain technical and normative aspects, acknowledging that they need to be objective, non-discriminatory and transparent, adopted at the multilateral level and taking into account particularities of each region. These guidelines must also include a clear prohibition to the transfer of small arms and light weapons, understood as exports, imports and transit, which are not expressly authorized by the competent authorities in the country of export, import or transit."

Change this paragraph to the following:

"To develop guidelines for national controls according to States' existing responsibilities under relevant international law. These guidelines must contain technical and normative aspects, acknowledging that they need to be objective, non-discriminatory and transparent, adopted at the global level and taking into account the particularities of each region. These guidelines must also include a clear prohibition to the transfer of small arms and light weapons, understood as exports, imports and transit, which are not expressly authorized by the competent authorities in the country of export, import or transit."

Make the following changes:

"Recognizing that guidelines for national controls must be adopted at the multilateral level and take into account the particularities of each region. These guidelines must also include a clear prohibition to the transfer of small arms and light weapons, understood as exports, imports and transit, which are not expressly authorized by the competent authorities in the country of export, import or transit."

Delete.

II.11

11. Noting that over 80 States have set up national coordination mechanisms, encourage States to take the necessary measures to ensure their proper functioning. Furthermore, where appropriate, to urge the establishment of such mechanisms in those States that have yet not done so.

To mention some of the concrete duties of the said "mechanisms" mentioned above, add one more sentence as follows:

"To assess applications for export authorizations according to strict national regulations and procedures that cover all SALW and are consistent with existing responsibilities of States under relevant international law, taking in to account in particular the risk of diversion of these weapons into the illicit trade."

Make the following change:

"Noting that over 80 States have set up national coordination mechanisms agencies or bodies and institutional infrastructure, encourage States to take the necessary measures to ensure their proper functioning. Furthermore, where appropriate, to urge the establishment of such mechanisms in those States that have yet not done so."

II.12

12. To strengthen the capacity of States to implement effective end-user certification processes, including the authenticity of end-user certificates and ensuring that the relevant authorities receive adequate training and resources for the verification of end-use.

Make the following change:

"To strengthen the capacity of States to implement effective end-user certification processes, as appropriate, including checking the authenticity of end-user certificates and ensuring that the relevant authorities receive adequate training and resources for the verification of end-use."

Comments: We have to take into consideration that we do not have yet an agreed standards of end user certification and that export and import regulation system differs from each country.

Make the following modification so that the paragraph is as follows:

"To strengthen the capacity of States to implement effective end-user certification processes, including the authenticity of end-user certificates and ensuring that the relevant authorities receive adequate training and resources for the verification of end-user certificates . "

Change this paragraph to the following:

"To apply effective end-user certification processes and to strengthen the capacity of States to implement effective end-user certification processes, including the authenticity of end-user certificates and ensuring that the relevant authorities receive adequate training and resources for the verification of end-use, with a view to preventing the diversion of small arms and light weapons to the illicit market and from authorized to non-authorized users."

Make the following changes:

"To implement effective end-user certification processes, including the authenticity of end-user certificates and ensuring that the relevant authorities receive adequate training and resources for the verification of end-use."

II.13	13. Noting the fact that over 30 States have developed, or are in the process of developing, specific national strategies for preventing and combating the illicit trade in small arms and light weapons, including national action plans, urge support for the sustainability of such national initiatives including, inter alia, by carrying out needs and resources assessments and, where possible, the further development of national action plans for the implementation of the Programme of Action.
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Delete paragraph and reformulate to make ideas clearer along the following lines:

"Urge the continued development of national strategies and action plans and the conduct of needs and resources assessments where applicable in support of these strategies and plans."

Change paragraph so that it reads:

"Noting the fact that over 30 States have developed, or are in the process of developing specific national strategies for preventing and combating the illicit trade in small arms and light weapon. States are encouraged to develop national action strategies and plans for the implementation of the Programme of Action."

Make the following changes:

"Noting the fact that over 30 States have developed, or are in the process of developing, specific national strategies for preventing and combating the illicit trade in small arms and light weapons, including national action plans, urge support for the sustainability of such national initiative."

II.14

14. Noting that 137 States have designated national points of contact since 2001, urge those that have not done so to take the necessary steps as soon as possible.

Comment:

II.14 should follow immediately after paragraph II.11

II.15

15. To enact or improve, pursuant to General Assembly Resolution A/RES/60/77, legislation, regulations and procedures to ban the transfer of man-portable air defence systems to non-State end-users and to ensure that such weapons are exported only to Governments or agents authorized by a Government.

Delete this paragraph. It is not convenient to singularize a particular category of arms.

Make the following change so that it reads:

"To enact or improve, legislation, regulations and procedures to ban the transfer of small arms and light weapons and their ammunitions to non-State end-users and to ensure that such weapons are exported only to Governments or agents authorized by a Government."

The ban should be on all types of SALW (not only specific) to non-state end-users

Comment:

Either to be applied to all categories of SALW.

Make the following change so that paragraph reads:

"To enact legislation, regulations and procedures to ban the transfer of small arms and light weapons to non-state end users..."

Change paragraph so that it reads:

"To fully implement General Assembly Resolution A/RES/60/77, "Prevention of the illicit transfer and unauthorized access to and use of man-portable air defence systems."

Make the following changes:

"To enact or improve, legislation, regulations and procedures to ban the transfer of Small Arms and Light Weapons to non-State end-users and to ensure that such weapons are exported only to Governments or agents authorized by a Government."

Delete

New Paragraph:

"To enact or improve, pursuant to, among others, General Assembly Resolution A/RES/60/77, legislation, regulations and procedures to ban the transfer of man-portable air defense systems as well as other types of small arms and light weapons to non-State end-users and to ensure that such weapons are exported only to Governments or agents authorized by a Government."

II.16

16. To further take appropriate measures, including all legal or administrative means, against any activity that violates a United Nations Security Council arms embargo established in accordance with the Charter of the United Nations.

Delete this paragraph.

Delete the end so that the paragraph reads:

"To intensify efforts to develop and enforce adequate national legislation or administrative procedures regulating the activities of those who engage in small arms and light weapons brokering, including, where required, measures such as registration of brokers, licensing or authorization of brokering transactions as well as appropriate penalties for offences."

Delete this paragraph:

This paragraph can be deleted as the idea is already as covered in paragraph 3 of Section II.

Comment:  
Move upward as para 5

II.17 17. To intensify efforts to develop and enforce adequate national legislation or administrative procedures regulating the activities of those who engage in small arms and light weapons brokering, including, where required, measures such as registration of brokers, licensing or authorization of brokering transactions as well as appropriate penalties for offences. Likewise, States should include provisions in national laws and regulations which enable the effective investigation, prosecution and punishment of all illicit arms brokering activities performed within their national jurisdiction and control.

Make the following changes so that it reads:  
"To intensify efforts to develop and enforce adequate national legislation or administrative procedures regulating the activities of those who engage in small arms and light weapons brokering, including, measures such as registration of brokers, licensing or authorization of brokering transactions including transportation, financial, technical and insurance services as well as ...."

New paragraph (para 18 of non paper of 18 May):  
17bis. "States are encouraged to undertake appropriate controls to ensure the adequate security, safety and management of stockpiles of small arms and light weapons ammunition in order to reduce the risks of explosion, environmental hazard and diversion."

Delete.  
Redudant to PoA II.14

Delete the end so that it reads:  
"To intensify efforts to develop and enforce adequate national legislation or administrative procedures regulating the activities of those who engage in small arms and light weapons brokering, including, where required, measures such as registration of brokers, licensing or authorization of brokering transactions as well as appropriate penalties for offences. "

Comments: We should not preempt the conclusion of Brokering GGE.

Make the following change:  
"To intensify efforts to develop and enforce adequate national legislation or administrative procedures regulating the activities of those who engage in small arms and light weapons brokering, including, where required, measures such as registration of brokers, licensing or authorization of brokering transactions as well as appropriate penalties for offences"

II.18	18. Noting that a significant number of destruction exercises of illicit small arms and light weapons have taken place since 2001 and taking note of initiatives to develop guidelines on national procedures to help reduce the amount of illicit small arms and light weapons in circulation, to intensify efforts to destroy surplus small arms and light weapons designated by national authorities for destruction and to continue efforts to implement best practices and methods for destruction.
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Add new paragraph from the 18 May document:

"18bis: States are encouraged to undertake appropriate controls to provide adequate security, safety and management of stockpiles of small arms and light weapons ammunition in order to reduce the risks of explosion, environmental hazard and diversion".

Make the following changes:

"Noting that a significant number of destruction exercises of illicit small arms and light weapons has taken place since 2001 and taking note of initiatives to develop guidelines on national procedures to help reduce the amount of illicit small arms and light weapons in circulation, to intensify efforts to dispose of surplus small arms and light weapons designated for disposal and to continue efforts to implement best practices and methods for disposal."

Change the following:

"Noting that a significant number of destruction exercises of illicit small arms and light weapons have taken place since 2001 and taking note of initiatives to develop best practices on national procedures to help reduce the amount of illicit small arms and light weapons in circulation, to intensify efforts to destroy small arms and light weapons considered by national authorities for destruction and to continue efforts to implement best practices and methods for destruction."

II.19	19. To intensify efforts to ensure, subject to the respective constitutional and legal systems of States, that the armed forces, police or any other body authorized to hold small arms and light weapons establish adequate and detailed standards and procedures relating to the effective management and security of their stocks of these weapons, including maintaining complete inventories of small arms and light weapons held by such bodies.
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Make the following changes:

"To intensify efforts to ensure, subject to the respective constitutional and legal systems of States, that the armed forces, police or any other body authorized to hold small arms and light weapons establish adequate and detailed standards and procedures relating to the effective management and security of their stocks of these weapons, including maintaining complete inventories of small arms and light weapons held by such bodies. Armed forces, police or any other authorized body should also ensure proper accountability for weapons during any demobilisation process."

Delete.  
Redudant to PoA II.17

19bis:  
"To encourage the implementation of the United Nations Basic Principles on the Use of Force and Firearms by Law Enforcement Officials as well as the Code of Conduct for Law Enforcement Officials."

II.20 20. To urge greater efforts to improve the efficiency and effectiveness of border, transshipment and customs controls.

Make the following change:  
"To urge greater efforts to improve, within their existing resources and accessibility to the necessary equipment, the efficiency and effectiveness of border, transshipment and customs controls."

To make the following change:  
"To improve the efficiency and effectiveness of border, transshipment and customs controls."

Make the following change:  
"To strive to improve the efficiency and effectiveness of border, transshipment and customs controls."

II.21 21. Taking into account that a number of disarmament, demobilization and reintegration programmes have yielded positive results, to urge the further development and implementation, where needed, of effective disarmament, demobilization and reintegration programmes.

Add a new paragraph on the link with development, armed violence, etc (suggested placement in the text is indicative, only)"  
"21 bis. To encourage states that have not already done so to develop where appropriate comprehensive National Action Plans for preventing and combating the illicit trade in small arms and light weapons in all its aspects, including the prevention of armed violence, and to integrate them into national security and development frameworks, including poverty reduction strategies. "

Add a new paragraph on gender:

"21. ter. To take into account gender issues as well as the different ways in which the illicit trade in small arms and light weapons in all its aspects affects men, women, boys and girls when addressing the illicit trade in small arms and light weapons in all its aspects."

Add a new paragraph on gender:

"21.bis to take into account gender issues, as well as the specific needs of men and women when addressing the illicit trade in small arms and light weapons in all its aspects in order to effectively implement the Programme of Action and Security Council Resolution 1325 on Women, Peace and Security."

Make the following changes:

"Taking account that a number of disarmament, demobilization and reintegration programs have yielded positive results, to urge the further development and implementation, where needed, of effective disarmament, demobilization and reintegration programs. States should also implement broader security sector reform to complement efforts in the area of disarmament, demobilization and reintegration."

21.bis

"Recognise the close linkage between drug trafficking, terrorism, transnational organised crime and the illicit trade in small arms and light weapons and note that crime prevention must be an integral part of the approach to combating the illicit trade in small arms and light weapons."

Delete.

Redundant to PoA II.21

21.bis:

"Taking into account that a number of disarmament, demobilization and reintegration programs have yielded positive results to support further development and implementation of effective disarmament, demobilization and reintegration programmes".

bis:

"Taking into account that a number of disarmament, demobilization and reintegration programmes have yielded positive results, to urge the further development and implementation, where needed, of effective disarmament, demobilization and reintegration programmes, underlining, in this regard, the need for United Nations peacekeeping missions to integrate in their concepts of operation uniform guidelines for securing the stockpiles of confiscated weapons designated for destruction in the context of such programmes in the countries where they are deployed."

II. 22	22. To enhance efforts to address the special needs of children affected by armed conflict, including those who are forced to become child soldiers, in particular the reunification with their family, their reintegration into civil society, and their appropriate rehabilitation.
<p>Replace the words: 'armed conflict' by the words: "<u>illicit traffic of small arms and weapons.</u>"</p>	
<p>Add new paragraph:  22bis. <u>"To take into account gender issues, as well as the specific needs of women when addressing the illicit trade in small arms and light weapons in all its aspects in order to effectively implement the Programme of Action and Security Council Resolution 1325 on Women, Peace and Security."</u></p> <p>Comments: We should put paragraph 25 from the Chair's non-paper on 18 May. We should strengthen our effort to address the problem of not only affected children, but also women's need from gender perspective.</p>	
<p>22.bis  <u>"Noting the linkages between the illicit trade in small arms and light weapons and terrorism, organized crime, trafficking in persons, drugs and precious minerals, and recognizing that the illicit trade in small arms and light weapons is a threat to peace, development, reconciliation and security, to call upon States to address not only the easy availability of arms but also the demand for arms, and to commit themselves to the implementation of strategies and programmes that would reduce the demand of small arms and light weapons and promote a culture of peace."</u></p>	
<p>Make the following changes:  "To enhance efforts to address the special needs of children affected by <u>the illicit trade in small arms and light weapons</u> , including those who are forced to become child soldiers, in particular the reunification with their family, their reintegration into civil society, and their appropriate rehabilitation. "</p>	
<p>New paragraph (para 18 of non paper of 18 May):  22.bis <u>"To redouble efforts to develop and implement, including in conflict and post conflict situations, confidence building measures, as well as public awareness and education programmes no the problems and consequences of the illicit trade in small arms and light weapons in all its aspects."</u></p>	

New paragraph (para 28 of non paper of 18 May):

22.tiers "To cooperate, as appropriate, with civil society, including non-governmental organizations and industry, in activities related to the prevention, combat and eradication of the illicit trade in small arms and light weapons in all its aspects."

Make the following changes:

"To enhance efforts to address the special needs of children and youth affected by armed conflict and gun related violence, including those who are forced to become child soldiers, in particular the reunification with their family, their reintegration into civil society, and their appropriate rehabilitation."

Delete.

Redudant to PoA II.22

Make the following change:

"To enhance efforts to address the special needs of children affected by armed conflict, including those who are forced to become child soldiers, in particular the reunification with their family, their reintegration into civil society, and their appropriate rehabilitation, taking into account the special sessions of the United Nations General Assembly on children, as well as Security Council Resolutions 1379, 1460, 1539 and 1612, which contribute to a comprehensive framework for addressing the protection of children in armed conflict,"

22bis.

"To take into account gender issues, as well as the specific needs of women when addressing the illicit trade in small arms and light weapons in all its aspects in order to effectively implement the Programme of Action and Security Council Resolution 1325 on Women, Peace and Security."

Make the following change:

"To enhance efforts to address the special needs of children affected by armed conflict, including those who are forced to become child soldiers, or those living in occupied and non-self-governing territories in particular the reunification with their family, their reintegration into civil society, and their appropriate and mandatory protection and rehabilitation."

II. 23	<p>23. Noting that 103 national reports were submitted to the United Nations Department for Disarmament Affairs in 2003 and in 2005, respectively, to encourage States to enhance their national reports by:</p> <p>(a) updating previous information,</p> <p>(b) providing more detailed information on their implementation of the Programme of Action and increasing the depth and breadth of the reports, and</p> <p>(c) addressing gaps and challenges in their implementation of the Programme of Action.</p>
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Make the following addition:  
(a)...  
"(d). continuing to include in their National Reports, as they have been doing, a reference to regulations on civilian possession."

Add new paragraph:  
23 bis. "Requests the Department for Disarmament Affairs to draw best practices on the implementation of the Programme of Action and to identify areas to be strengthened based on, inter alia, the National Reports."

Make the following change:  
"Noting that 103 national reports were submitted to the United Nations Department for Disarmament Affairs in 2003 and in 2005, respectively, and encourage States to enhance their national reports by: . . ."

**At the regional level**

II. 24	<p>24. Welcoming the adoption of instruments such as, inter alia, the OAS/CICAD Model Regulation for the Control of the International Movement of Firearms, the Inter-American Convention Against the Illicit Manufacturing and Trafficking in Firearms, Ammunition, Explosives, and Other Related Materials, the Southern African Development Community Protocol on the Control of Firearms, Ammunition and Other Related Materials, the Nairobi Protocol for the Prevention, Control and Reduction of Small Arms and Light Weapons in the Great Lakes Region and the Horn of Africa, and the ECOWAS Convention on Small Arms and Light Weapons, Their Ammunition and Other Associated Material, to further encourage negotiations, where appropriate, with the aim of concluding relevant legally binding instruments aimed at preventing, combating and eradicating the illicit trade in small arms and light weapons in all its aspects, and, where they do exist, to ratify and fully implement them.</p>
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Add " after the words" ...Horn of Africa" in the 8th line:  
"Nadi Framework Model Weapons Control Bill"

Make the following change:  
"... and the ECOWAS Convention on Small Arms and Light Weapons, Their Ammunition and Other Related Materials ,..."

Delete. Moved to preamble.  
Redudant to PoA II.25

24bis." To encourage states that have not already done so to develop where appropriate comprehensive National Action Plans for preventing and combating the illicit trade in small arms and light weapons in all its aspects, including the prevention of armed violence, and to integrate them into national security and development frameworks, including poverty reduction strategies."

Make the following change:  
"Welcoming the adoption of instruments and guidelines such as, inter alia, the OAS/CICAD Model Regulation for the Control of the International Movement of Firearms, the Inter-American Convention Against the Illicit Manufacturing and Trafficking in Firearms, Ammunition, Explosives, and Other Related Materials, the Southern African Development Community Protocol on the Control of Firearms, Ammunition and Other Related Materials, the Nairobi Protocol for the Prevention, Control and Reduction of Small Arms and Light Weapons in the Great Lakes Region and the Horn of Africa, and the ECOWAS Convention on Small Arms and Light Weapons, Their Ammunition and Other Associated Material, to further encourage negotiations, where appropriate, with the aim of concluding relevant legally binding instruments aimed at preventing, combating and eradicating the illicit trade in small arms and light weapons in all its aspects, and, where they do exist, to ratify and fully implement them."

II. 25	25. To take all necessary measures to ensure the full implementation of existing regional and subregional agreements, including guidelines and standards, as appropriate.
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Make the following change:  
"To take all necessary measures to ensure the full implementation of existing regional and subregional agreements, including guidelines and standards."

II. 26	26. To strengthen the capacity of regional and subregional organizations, particularly in those regions most affected by cross-border flows of illicit small arms and light weapons, to provide support to States in their efforts to implement the Programme of Action.
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Make the following change:

"To strengthen the capacity of regional and subregional organizations, particularly in those regions most affected by cross-border flows of illicit small arms and light weapons, and provide support to States in their efforts to implement the Programme of Action."

II. 27

27. Taking note of the adoption of codes and initiatives on transfer controls, to further encourage, on a voluntary basis, the development of regional and subregional guidelines and criteria on transfer controls.

Make the following change:

"Taking note of the adoption of codes and initiatives on transfer controls, where appropriate, to further encourage, on a voluntary basis, the development of regional and subregional guidelines and criteria on transfer controls."

Make the following changes:

"Taking note of the initiatives on transfer controls, to encourage the consideration and study of the possibility of developing regional and subregional guidelines and criteria on transfer controls on a voluntary basis and taking into account of regional particularities and conditions"

Make the following changes:

"Taking note of the adoption of regional and sub-regional codes of conduct and initiatives on transfer controls, to further encourage, on a voluntary basis, the development of regional and sub-regional guidelines and criteria on transfer controls that would play important role in developing global guidelines on transfer controls."

Delete.

Change the following:

"Taking note of the adoption of codes and initiatives on transfer controls, to further encourage, on a voluntary basis, the development of regional and subregional principles and criteria on transfer controls."

Make the following change:

"Taking note of the adoption of codes and initiatives on transfer controls, to further encourage, the development of regional and subregional guidelines and criteria on transfer controls."

Delete.

Make the following change:

"Taking note of progress made in the development of transfer controls, to further encourage, on a voluntary basis, the development of regional and subregional guidelines and criteria on transfer controls."

Make the following change:

"Taking note of the adoption of sub-regional or regional codes and initiatives on transfer controls, to further encourage, on a voluntary basis, the development of regional and subregional guidelines and criteria on transfer controls."

II. 28

28. To enhance cooperation, on a voluntary basis, at the regional and subregional level in the following and, where possible, establish agreements to this end:  
(a) police, customs and border control services, including training and exchange of information to support common action to address trafficking across borders and transshipment of illicit small arms and light weapons;  
(b) investigation and prosecution of groups and individuals engaged in the illicit manufacture, trade, brokering, stockpiling, transfer, possession and transshipment, as well as financing for acquisition of illicit small arms and light weapons;  
(c) weapons collection and destruction and disarmament, demobilization and reintegration programmes;  
(d) exchange of information and experience on national laws, regulations and administrative procedures for exercising effective control over production, import, export, transit, transshipment and retransfer of small arms and light weapons.

Make the following changes:

"To enhance cooperation, on a voluntary basis, at the regional and subregional level in the areas including the following and, where possible, establish cooperation framework to this end:..."

Make the following changes:

"To enhance cooperation, at the regional and subregional levels in the following and, where possible, establish agreements to this end: . . ."

Make the following change:

"To enhance cooperation, on a voluntary basis, at the regional and subregional level in the following and establish agreements to this end: . . ."

Make the following change:

"(c) weapons collection, stockpiling and destruction in the context of disarmament, demobilization and reintegration programmes; "

II. 29

29. To identify lessons learned and best practices, at the national level, on the implementation of the Programme of Action and to utilize these lessons in future regional measures to prevent, combat and eradicate the illicit trade in small arms and light weapons and to encourage the dissemination of such information.

Add new paragraph:

"29 Bis. Encourages states in post conflict situations to apply the provisions of the Programme of Action as a confidence building measure."

Add new paragraph, para. 36 of May 18 version:

"29bis. To further encourage regions to develop measures, on a voluntary basis, to enhance transparency with a view to combating the illicit trade in small arms and light weapons in all its aspects."

29.bis:

"To encourage relevant organisations, where appropriate, and in regions where states need particular assistance, to compile reports on regional implementation of the UN Program of Action."

Make the following change:

"To identify lessons learned and best practices, at the national level, on the implementation of the Programme of Action and to utilize these lessons in future regional measures to prevent, combat and eradicate the illicit trade in small arms and light weapons and to encourage the dissemination of such information."

Make the following changes:

"To identify lessons learned and best practices, at the national level, on the implementation of the Programme of Action to encourage the dissemination of such information by the Regional Centers."

**At the global level**

II.30

30. Taking note of the 2005 broad-based consultations on further steps to enhance international cooperation in preventing, combating and eradicating illicit brokering in small arms and light weapons and recalling General Assembly Resolutions 59/86 and 60/81, to encourage the Group of Governmental Experts, which will commence its work in November 2006, to take a practical approach to its work, with a view to making concrete recommendations on further steps that need to be taken within the framework of the United Nations.

Amend paragraph by reinserting the last sentence contained in previous draft:

"... The GGE should consider whether there is a need for global regulations in small arms and light weapons and, if that is the case, to propose a negotiating mandate."

Make the followings changes:

"To encourage initiatives and studies aimed at developing common understandings on basic issues and options related end-user certification."

Comments: If the United Nations study leads to the establishment of a new follow up framework and additional budget, we have to consider budgetary implication. So this paragraph and its priority should be considered in the section IV. or the text should be revised as above.

Comment:

To adjust the mandate of the GGE as enshrined in the UNGA resolution.

Make the following changes:

"Taking note of the 2005 broad-based consultations on further steps to enhance international cooperation in preventing, combating and eradicating illicit brokering in small arms and light weapons and recalling General Assembly Resolutions 59/86 and 60/81, to encourage the Group of Governmental Experts, which will commence its work in November 2006, to consider further steps to enhance international cooperation in preventing, combating and eradicating illicit brokering in small arms and light weapons, and to submit the report on the outcome of its study to the General Assembly at its sixty-second session."

Add at the end the following:

"Taking note of the 2005 broad-based consultations on further steps to enhance international cooperation in preventing, combating and eradicating illicit brokering in small arms and light weapons and recalling General Assembly Resolutions 59/86 and 60/81, to encourage the Group of Governmental Experts, which will commence after the Review Conference and no later than 2007, to consider further steps to enhance international cooperation in preventing, combating and eradicating illicit brokering in small arms and light weapons."

Make the following change:

"Taking note of the 2005 broad-based consultations on further steps to enhance international cooperation in preventing, combating and eradicating illicit brokering in small arms and light weapons and recalling General Assembly Resolutions 59/86 and 60/81, to encourage the Group of Governmental Experts, which will commence its work in November 2006, to recommend the establishment of an Open Ended Working Group with the mandate of negotiating an international instrument on this matter."

At the end of this paragraph, add:

"... to be taken within the framework of the United Nations, including proposing a negotiating mandate for a legally binding global regulations on brokering."

Delete last sentence so that it reads:

"Taking note of the 2005 broad-based consultations on further steps to enhance international cooperation in preventing, combating and eradicating illicit brokering in small arms and light weapons and recalling General Assembly Resolutions 59/86 and 60/81, to encourage the Group of Governmental Experts, which will commence its work in November 2006, to take a practical approach to its work, with a view to making concrete recommendations on further steps that need to be taken."

At the end of paragraph add:

"... The GGE should consider whether there is a need for global regulations in small arms and light weapons and, if that is the case, to propose a negotiating mandate."

Reinsert former Para 18 from section II:

"States are encouraged to undertake appropriate controls to insure the adequate security, safety and management of stockpiles of small arms and light weapons ammunition in order to reduce the risks of explosion, environmental hazard and diversion."

II.31

31. To request the Secretary-General of the United Nations to undertake a United Nations study aimed at developing common understandings on basic issues and options related to the establishment of common standards and reliable systems for end-user certification.

Change so that paragraph reads in the following manner:

"To request the Secretary-General of the United Nations, *in consultation with the World Customs Organisation*, to undertake a study aimed at developing common understandings on basic issues and options related to the establishment of common standards and reliable systems for end-user certification."

It is better to discuss the issue of transfer to non state actors under Transfer Control in the section IV

Delete

Delete

Delete.

Delete.	
Delete and 31.bis: <i>"To call upon States to take measures to interdict and curb the supply of Small Arms and Light weapons to Non-State Actors."</i>	
II.32	32. To continue exchanging views on the policies, practices and considerations related to the transfer of small arms and light weapons to actors not authorized by the recipient State, with a view to developing common understandings or measures, taking into account the different contexts and approaches of States.
Delete this paragraph.	
Make the following change so that it reads: <i>"To initiate a practical approach to prohibit the transfer of small arms and light weapons to actors not authorized by the recipient State, as it exists in other international instruments already approved and resolutions of the Security Council,</i> with a view to developing common understandings or measures, taking into account the different contexts and approaches of States.	
Make the following change so that it reads: " To continue exchanging views on the policies, practices and considerations related to the transfer of small arms and light weapons, <i>including</i> , to actors not authorized by the recipient State, with a view to developing common understandings <u>and guidance on transfers to safeguard against illicit trade, based on texts already agreed in this field, including the document agreed in Nairobi on 21 April 2006, annexed to this report.</u> "	
Delete.	
Alternative paragraph: <i>"To enact or improve legislation, regulations and procedures to ban the transfer of small arms and light weapons to non-State end-users and to ensure that such weapons are exported only to a State or a State agency authorized to receive such transfers"</i>	
Delete.	
II.33	33. To request the Secretary-General, with assistance from States in a position to do so, to compile lessons learned and best-practices on stockpile management and destruction with a view to developing practical guidelines, including standards and procedures for stockpile management and security and for weapons destruction.

33.bis

"To request the Peacebuilding Commission, with the help of the Peacebuilding Support Office, to consider carrying out a study on the dynamics of conflicts, crime and violence in order to understand and address the causes thereof."

Make the following change:

"To request the Secretary-General, with assistance from States in a position to do so, to compile lessons learned and best-practices on stockpile management and destruction of small arms and light weapons and their ammunition with a view to developing practical guidelines, including standards and procedures for stockpile management and security and for weapons destruction."

Make the following change:

"To request the Secretary-General, with assistance from States in a position to do so, to compile lessons learned and best-practices on stockpile management and destruction and to consult with Member States with a view to developing practical guidelines, including standards and procedures for stockpile management and security and for weapons destruction."

Delete.

II.34

34. To initiate a process, within the framework of the United Nations, to consider the issue of the applicability of provisions of the International Instrument to Enable States to Identify and Trace, in a Timely and Reliable Manner, Illicit Small Arms and Light Weapons to United Nations peacekeeping operations, as recommended by the report of the Open-Ended Working Group on Tracing Illicit Small Arms and Light Weapons.

This paragraph should be moved to the section on Follow-Up

Include paragraph 43 and 44 from 18 May version:

34bis. "To consider for early implementation measures to address the illicit trade in man-portable air defense systems."

and

34tre. "To encourage the relevant international and regional organizations and States to facilitate appropriate cooperation with civil society, including non-governmental organizations and industry, in activities related to the prevention, combat and eradication of the illicit trade in small arms and light weapons in all its aspects, in view of the important role of civil society in this field."

Delete this paragraph.

Reasoning: States hold the primary responsibility on the implementation of the Program of Action and the International Instrument on Marking and Tracing. Furthermore, it is not within the mandate of the United Nations Peacekeeping Operations to deal with marking and tracing.

Make the following changes:

"To *encourage further discussion on initiating* a process, within the framework of the United Nations, to consider the issue of the applicability of provisions of the International Instrument to Enable States to Identify and Trace, in a Timely and Reliable Manner, Illicit Small Arms and Light Weapons to United Nations peacekeeping operations, as recommended by the report of the Open-Ended Working Group on Tracing Illicit Small Arms and Light Weapons."

Comments: The tracing instrument, adopted only 6 months ago, has not yet seen a submission of reports nor a meeting to review the implementation. We are not certain, at this stage, if initiating this "process" is a priority over other important topics. In the case that initiation of a process leads to the establishment of a new follow up framework and additional budget, we have to consider budgetary implication. So this paragraph and its priority should be considered in the section IV. or the text should be revised as above.

Comment:

"within the framework of the UN" is ambiguous and it does not necessarily mean "within the POA". It could be deleted or clarification is required.

Make the following change:

"*To further consider*, within the framework of the United Nations, the issue of the applicability of provisions of the International Instrument to Enable States to Identify and Trace, in a Timely and Reliable Manner, Illicit Small Arms and Light Weapons to United Nations peacekeeping operations, as recommended by the report of the Open-Ended Working Group on Tracing Illicit Small Arms and Light Weapons."

Delete.

II.35	35. Recognizing the support provided by civil society and non-governmental organizations in the implementation of the Programme of Action, inter alia, through advocacy, awareness-raising and education, research, support to national coordinating bodies and field-projects, to encourage them to engage, as appropriate, in all aspects of international, regional and national efforts to further implement the Programme of Action.
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35.bis:

"States, United Nations entities, regional and subregional organizations and other parties concerned, to take appropriate measures to control illicit subregional and cross-border activities harmful to children, including illicit exploitation of natural resources, illicit trade in small arms, abduction of children and their use and recruitment as soldiers as well as other violations and abuses committed against children in situations of armed conflict in violation of applicable international law; (from Paragraph 16 of the UNSC/RES 1612 (2005));"

Delete.

Redundant to PoA II.40

Make the following changes:

"Recognizing the support provided by civil society, non-governmental organizations and industry in the implementation of the Programme of Action, inter alia, through advocacy, awareness-raising and education, research, support to national coordinating bodies and field-projects, to encourage States to engage them, as appropriate, in all aspects of international, regional and national efforts to further implement the Programme of Action. "

II.36

36. To enhance the promotion of dialogue and a culture of peace by encouraging, as appropriate, education and public awareness programmes on the problems of the illicit trade in small arms and light weapons in all its aspects, involving all sectors of society.

Delete.

Redundant to PoA II.41

### **New paragraphs or additional Comments for Section II**

Reinsert old paragraph 18 from previous draft (not indicated where it should be placed):

"States are encouraged to undertake appropriate controls to insure the adequate security, safety and management of stockpiles of small arms and light weapons ammunition in order to reduce the risks of explosion, environmental hazard and diversion. "

At the global level section, add the following text:

"To encourage major producing countries of small arms and light weapons to decrease their production of such weapons as an effective contribution to help reduce the amount of small arms and light weapons in circulation."

Reinstate initial para 25 as contained in the Presidents non-paper of May 18th 2006 to read:

"To take into account gender issues as well as special needs of women when addressing the illicit trade in small arms and light weapons in all its aspects in order to effectively implement the Programme of Action and security council resolution 1325 on women, Peace and Security".

New Paragraph:

"Reiterating that these efforts are without prejudice to the priorities accorded to nuclear disarmament, other weapons of mass destruction and conventional disarmament."

Reinsert language on gender (old para 25):

*"To take into account gender issues as well as the different ways in which the illicit trade in small arms and light weapons in all its aspects affects men, women, boys and girls when addressing the illicit trade in small arms and light weapons in all its aspects."*

33bis.

"To undertake a comprehensive review of conflicts fuelled by the linkage between the illicit trade in small arms and light weapons and the illegal exploitation of natural resources, with special attention accorded to regional and sub-regional peculiarities, and to consider the establishment of groups and panels of experts to study such linkage in the affected countries and regions, with a view to possible introduction of an international instrument to trace and monitor that linkage which shall periodically report to the United Nations General Assembly and United Nations Security Council simultaneously for possible coherent and integrated action to break such linkage."

New Paragraph:

"Having reviewed progress made in the Implementation, since 2002, of the Programme of Action to Prevent, Combat and Eradicate the Illicit Trade in Small Arms and Light Weapons in All its Aspects at the national, regional, and global levels, the States participating in this conference have agreed that for the purposes of the Programme of Action small arms and light weapons are "illicit" if:

- a) they are considered illicit under the law of the State within whose territorial jurisdiction the small arm and light weapon is found;
- b) they are transferred in violation of arms embargoes decided by the United Nations Security Council in accordance with the Charter of the United Nations;
- c) they are not marked in accordance with the provisions of the International Instrument to Enable States to Identify and Trace in a Timely and Reliable Manner, Illicit Small Arms and Light Weapons;
- d) They are manufactured or assembled without a license or authorization from the competent authority of the State where the manufacture or assembly takes place;
- e) they are transferred without a license or authorization by a competent national authority;
- f) they are produced under the expired license or without a license of a State which is the original manufacturer and owner of the production technology.

Reaffirm the continued relevance of the all commitments agreed to in the Programme of Action, and further resolve to undertake the following concrete measures for its strengthened and future implementation."

New Paragraph:

"To take measures in order to ensure that the above-mentioned laws, regulations and administrative procedures provide for, inter alia, the termination of the production of small arms and light weapons under the expired license or without a license of a State, which is the original manufacture and owner of the production technology; strengthening control over the re-export of small arms and light weapons including by receiving an authorization to reexport from these States which is the original exporter of these weapons; the introduction of a practice of random inspections by the exporter of storage conditions and end-use of some types of small arms and light weapons, for example MANPADS."

New Paragraph:

"To intensify efforts to develop and enforce adequate national legislation or administrative procedures efficiently regulating the activities of those who are engaged in small arms and light weapons brokering, including, where required, measures such as registration of brokers, licensing or authorization of bordering transactions, maximum reduction of a number of brokers, as well as appropriate penalties for offences. Likewise, States should include provisions in national laws and regulations which enable to effective investigation, prosecution and punishment of illicit brokering activities within their national jurisdiction and control."

### III. International Cooperation and Assistance

III.1 1. We, the States participating in the Conference reaffirm that the primary responsibility for solving the problems associated with the illicit trade in small arms and light weapons in all its aspects falls on all States and also stress that further international cooperation is needed to enhance ongoing efforts to prevent, combat and eradicate this illicit trade.

Delete.  
Redundant to PoA III.1

Make the following change:

"We, the States participating in the Conference reaffirm that the primary responsibility for solving the problems associated with the illicit trade in small arms and light weapons in all its aspects falls on all States and also stress that further international cooperation is a key element to enhance ongoing efforts to prevent, combat and eradicate this illicit trade."

III.2 2. States undertake to strengthen cooperation and to enhance coordination, complementarity and synergy in efforts to deal with the illicit trade in small arms and light weapons in all its aspects at the global, regional, subregional and national levels and to establish and strengthen cooperation and partnerships at all levels among international and intergovernmental organizations and civil society, including non-governmental organizations, industry, and international financial institutions.

Delete  
Redundant to PoA III.2

III.3	<p>3. States and appropriate international and regional organizations in a position to do so should, upon request of the relevant authorities, actively consider rendering strengthened assistance, including technical and financial assistance as well as assistance in capacity-building, to further support the implementation of all measures as contained in the Programme of Action and in this Outcome Document of the Review Conference, including, inter alia, the following:</p> <ul style="list-style-type: none"> <li>(a) the development and implementation of appropriate legislation and regulation;</li> <li>(b) import, export, transit and transshipment controls;</li> <li>(c) the operational capacity for law enforcement;</li> <li>(d) stockpile management and security;</li> <li>(e) destruction of surplus small arms and light weapons designated by national authorities for destruction;</li> <li>(f) transfer of technologies;</li> <li>(g) disarmament, demobilization and reintegration of ex-combatants, including assistance for ex-child soldiers; and</li> <li>(h) the establishment and effective functioning of national coordination agencies or bodies.</li> </ul>
<p>Make the following change:  ". . . (f) transfer of technologies <i>and equipment including those for border control purposes; . . .</i>"</p>	
<p>Make the following change:  "...(e) <i>disposal</i> of surplus small arms and light weapons designated for destruction, "</p>	
<p>Make the following change:  "...(c) the operational capacity for law enforcement, <i>with particular attention on border controls;</i>  (d) stockpile management and security <i>of small arms and light weapons and their ammunition</i> ;  (e) destruction of surplus small arms and light weapons and <i>their ammunition</i> designated by national authorities for destruction..."</p>	
<p>Make the following changes:  "States and appropriate international and regional organizations in a position to do so should, upon request of the relevant <i>national</i> authorities, <i>provide</i> strengthened assistance, including technical and financial assistance as well as assistance in capacity-building, to further support the implementation of all measures as contained in the Programme of Action and in this Outcome Document of the Review Conference, including, inter alia, the following: . . ."</p>	

Make the following change:

"States and appropriate international and regional organizations in a position to do so should actively consider rendering strengthened assistance, including technical and financial assistance as well as assistance in capacity-building, to further support the implementation of all measures as contained in the Programme of Action and in this Outcome Document of the Review Conference, including, the following:

- (a) the development and implementation of appropriate legislation and regulation;
- (b) import, export, transit and transshipment controls;
- (c) the operational capacity for law enforcement;
- (d) stockpile management and security;
- (e) destruction of surplus small arms and light weapons designated by national authorities for destruction;

*(f deleted)*

- (g) disarmament, demobilization and reintegration of ex-combatants, including assistance for ex-child soldiers; and
- (h) the establishment and effective functioning of national coordination agencies or bodies.

Make the following change:

States and appropriate international and regional organizations in a position to do so should, upon request, actively consider rendering strengthened assistance, including technical and financial assistance as well as assistance in capacity-building, to further support the implementation of all measures as contained in the Programme of Action and in this Outcome Document of the Review Conference, including, inter alia, the following . . ."

Make the following change:

"States and appropriate international, regional and other expert organizations in a position to do so should, upon request of the relevant authorities, actively consider rendering strengthened assistance, including technical and financial assistance as well as assistance in capacity-building, to further support the implementation of all measures as contained in the Programme of Action and in this Outcome Document of the Review Conference, including, inter alia, the following: . . ."

- III.4 4. States and appropriate international and regional organizations should consider rendering technical, financial and other assistance, both bilaterally and multilaterally, in building national capacity in the areas of marking, record-keeping and tracing, in order to support the effective implementation of the International Instrument to Identify and Trace, in a Timely and Reliable Manner, Illicit Small Arms and Light Weapons,
- (a) by building national capacity in the areas of marking, record-keeping and tracing, and
  - (b) by examining technologies that would improve the tracing and detection of illicit small arms and light weapons, as well as facilitating the transfer of such technologies.

Make the following change:

"States and appropriate international and regional organizations should render technical, financial and other assistance, both bilaterally and multilaterally, in building national capacity in the areas of marking, record-keeping and tracing, in order to support the effective implementation of the International Instrument to Identify and Trace, in a Timely and Reliable Manner, Illicit Small Arms and Light Weapons,

(a) by building national capacity in the areas of marking, record-keeping and tracing, and

(b) by examining technologies that would improve the tracing and detection of illicit small arms and light weapons, as well as facilitating the transfer of such technologies."

Make the following changes:

"States and appropriate international and regional organizations in a position to do so should provide technical, financial and other assistance, both bilaterally and multilaterally, in order to support the effective implementation of the International Instrument to Identify and Trace, in a Timely and Reliable Manner, Illicit Small Arms and Light Weapons, . . ."

Make the following change so that entire paragraph reads:

"States and appropriate international and regional organizations should consider rendering technical, financial and other assistance, in building national capacity in the areas of marking, record-keeping and tracing, in order to support the effective implementation of the International Instrument to Identify and Trace, in a Timely and Reliable Manner, Illicit Small Arms and Light Weapons.

Make the following changes:

"States and appropriate international and regional organizations should consider rendering technical, financial and other assistance, upon request, both bilaterally and multilaterally, in building national capacity in the areas of marking, record-keeping and tracing, in order to support the effective implementation of the International Instrument to Identify and Trace, in a Timely and Reliable Manner, Illicit Small Arms and Light Weapons, . . ."

Make the following change:

"States and appropriate international, regional and other expert organizations should consider rendering technical, financial and other assistance, both bilaterally and multilaterally, in building national capacity in the areas of marking, record-keeping and tracing, in order to support the effective implementation of the International Instrument to Identify and Trace, in a Timely and Reliable Manner, Illicit Small Arms and Light Weapons,"

III.5 5. States are encouraged to increase cooperation and the exchange of information to prevent the use of unauthenticated end-use documentation, including assistance, upon request, in monitoring, developing and strengthening effective end-user certification processes as well as in investigations and prosecutions of import and export control violations in accordance with their respective national constitutional and legal systems.

Add the following:

"5. States are encouraged subject to their national practices to increase cooperation and the exchange of information to prevent the use of unauthenticated end-use documentation, including assistance..."

<p>Make the following change:  "States are <u>urged</u> to increase cooperation and the exchange of information to prevent the use of unauthenticated end-use documentation, including assistance, upon request, in monitoring, developing and strengthening effective end-user certification processes as well as in investigations and prosecutions of import and export control violations in accordance with their respective national constitutional and legal systems."</p>	
III.6	6. States and appropriate international or regional organizations, in a position to do so should, upon request, provide technical, financial and other assistance to enable other States to develop and implement adequate national controls on brokering in small arms and light weapons.
<p>Comment:  Incorporate the article into Article 3(III-3) considering that it is also about the technical and financial assistance to support the capacity-building of recipient states in implementing the PoA.  - (i) the development and implementation of adequate national controls on illicit brokering in small arms and light weapons.</p>	
III.7	7. States in a position to do so are encouraged to provide assistance, upon request, to interested States in strengthening their capacity to enforce United Nations Security Council arms embargoes.
<p>Make the following change:  "States in a position to do so are encouraged to provide assistance, upon request, to interested States in strengthening their capacity to enforce United Nations Security Council arms embargoes <u>in accordance with the UN Charter.</u>"</p>	
<p>Make the following change:  "States and appropriate international, regional and other expert organizations, in a position to do so should, upon request, provide technical, financial and other assistance to enable other States to develop and implement adequate national controls on brokering in small arms and light weapons."</p>	
III.8	8. States and international and regional organizations are encouraged to exchange information and experience, on a voluntary basis, inter alia, on their national laws, regulations and practices on the export, import, transit, transshipment and brokering of small arms and light weapons.
III.9	9. Noting the contribution of the United Nations project entitled "Capacity Development for Reporting on the Implementation of the Programme of Action," the Conference encourages States in a position to do so to continue to support the project.

Delete.

Delete.

III.10 10. States are encouraged to reinforce the capacities of regional and international mechanisms, including the International Criminal Police Organization (Interpol) and the World Customs Organization, in order to strengthen their ability to prevent, combat and eradicate the illicit trade in small arms and light weapons and to intensify their coordination regarding transborder, transit and transshipment controls.

Make the following change:

"States are encouraged to reinforce the capacities of regional and international mechanisms, including *inter alia* the International Criminal Police Organization (Interpol) and the World Customs Organization, in order to strengthen their ability to prevent, combat and eradicate the illicit trade in small arms and light weapons and to intensify their coordination regarding transborder, transit and transshipment controls."

Make the following change:

"States are *urged* to reinforce the capacities of regional and international mechanisms, including the International Criminal Police Organization (Interpol) and the World Customs Organization, in order to strengthen their ability to prevent, combat and eradicate the illicit trade in small arms and light weapons and to intensify their coordination regarding transborder, transit and transshipment controls.

III.11 11. States are encouraged to make intensified use of existing structures within the United Nations, in particular the Department of Disarmament Affairs (DDA) and its Coordinating Action on Small Arms (CASA) in order to facilitate all aspects of the further implementation of the Programme of Action. In this regard, States in a position to do so are encouraged to provide the necessary assistance to these structures.

Delete.

Make the following change:

"States are encouraged to make intensified use of existing structures within the United Nations, in particular *those relevant to disarmament, including* the Department of Disarmament Affairs (DDA) and its Coordinating Action on Small Arms (CASA), *UNIDIR and the United Nations Development Programme (UNDP)* in order to *support* all aspects of the further implementation of the Programme of Action. In this regard, States in a position to do so are encouraged to provide assistance to these structures."

III.12	<p>12. States request the Department for Disarmament Affairs to enhance the CASA database to incorporate information, provided on a voluntary basis by Member States, international, regional, and other relevant organizations, on the needs of affected countries, including their technical and financial needs, lessons learned regarding the implementation of the Programme of Action, and other information that could improve coordination and help match resources with needs.</p>
<p>Make the following change:          "States <u>are encouraged to support</u> the Department for Disarmament Affairs to enhance the CASA database to incorporate information, provided on a voluntary basis by Member States, international, regional, and other relevant organizations, <u>on the progress made in the implementation of the Programme of action by Member States and international organizations at national, regional and global levels, as well</u> as the needs of affected countries, including their technical and financial needs, lessons learned regarding the implementation of the Programme of Action, and other information that could improve coordination and help match resources with needs. "</p>	
<p>Make the following change:          "States request the Department for Disarmament Affairs to enhance the CASA database to incorporate information, provided on a voluntary basis by Member States, international, regional, and other relevant organizations, on the needs of affected countries, including their technical and financial needs, o <u>ther information that could improve coordination and help match resources with needs, and</u> lessons <u>learn</u>t regarding the implementation of the Programme of Action."</p>	
<p>Comment:          Clarification required as to who decides which are the most affected countries.</p>	
<p>Delete.</p>	
III.13	<p>13. States in a position to do so are encouraged to strengthen the United Nations Department for Disarmament Affairs Trust Fund for global and regional disarmament activities and the UNDP Voluntary Trust Fund for small arms and light weapons in order to enable them to provide effective support to programmes and projects for the implementation of the Programme of Action at national, subregional, regional and global levels. States are encouraged to establish similar trust funds in regional and sub-regional organizations.</p>

Delete.

Make the following changes:

"States in a position to do so are encouraged to contribute to the United Nations Department for Disarmament Affairs Trust Fund for global and regional disarmament activities and the UNDP Voluntary Trust Fund for small arms and light weapons in order to enable them to provide effective support to programmes and projects for the implementation of the Programme of Action at national, subregional, regional and global levels. States are encouraged to establish similar trust funds in regional and sub-regional organizations."

Make the following changes:

"States and multilateral and regional financial institutions in a position to do so are encouraged to establish and support small arms and light weapons trust funds in regional, sub-regional and other relevant organizations in support of the implementation of the Programme of Action. In addition, States in a position to do so are encouraged to strengthen the United Nations Department for Disarmament Affairs Trust Fund for global and regional disarmament activities and the UNDP Voluntary Trust Fund for small arms and light weapons."

III.14

14. Taking note of the pilot-sponsorship programme initiated to facilitate participation of representatives of national coordinating bodies from developing countries at this Conference, States in a position to do so are urged to build on this initiative and establish a sponsorship programme to enable such representatives to participate at future meetings on the implementation of the Programme of Action. Likewise, States in a position to do so are encouraged to sponsor participation from civil society at such meetings.

Comment:

Needs clarification

Comment:

Clarification required on the reasoning for the inclusion of the last sentence, "Likewise, States ... meetings."

Delete.

Make the following changes:

"States in a position to do so are urged to establish a sponsorship programme to enable representatives from developing countries to participate at future meetings on the implementation of the Programme of Action. Likewise, States in a position to do so are encouraged to sponsor participation from civil society at such meetings. Such a sponsorship programme should be managed by the Department for Disarmament Affairs."

III.15

15. States and relevant international, regional and subregional organizations as well as regional and country offices of international development organizations should enhance cooperation and coordination to support the dissemination of best practices and lessons learned in the design and implementation of programmes and projects within the framework of the Programme of Action.

Delete.

Make the following change:

"States and appropriate international, regional and other expert organizations as well as regional and country offices of international development organizations should enhance cooperation and coordination to support the dissemination of best practices and lessons learned in the design and implementation of programmes and projects within the framework of the Programme of Action."

III.16 16. Recalling the OECD Development Assistance Committee's guidelines and other steps taken by development partners that open up for the use of Official Development Assistance (ODA) to implement the Programme of Action, encourage all States, agencies and institutions to take full advantage of this development; and call for further review of such guidelines to enhance the use of ODA to support the implementation of the Programme of Action.

Replace this paragraph with:

"Recalling the OECD Development Assistance Committee's decision, and other steps taken by development partners, that allow for the use of Official Development Assistance (ODA) to implement the Programme of Action, to encourage all States, agencies and institutions to take full advantage of this decision, and to contribute to the development of OECD guidelines to enhance the use of ODA to support the Programme of Action. "

Delete the text at the end of the paragraph so that it reads:

"Recalling the OECD Development Assistance Committee's guidelines and other steps taken by development partners that open up for the use of Official Development Assistance (ODA) to implement the Programme of Action, encourage all States, agencies and institutions to take full advantage of this development."

Delete

Make the following change and deletion so that the paragraph reads:

"Recalling the OECD Development Assistance Committee's guidelines and other steps taken by development partners that open up for the use of Official Development Assistance (ODA) to implement the Programme of Action. "

Delete

Delete.

Delete	
<p>Replace current paragraph with:  <u>"Recalling the OECD Development Assistance Committee's decision, and other steps taken by development partners, that allow for the use of Official Development Assistance (ODA) to implement the Programme of Action, to encourage all States, agencies and institutions to take full advantage of this decision, and to contribute to the development of OECD guidelines to enhance the use of ODA to support the Programme of Action."</u></p>	
III.17	<p>17. The Conference requests multilateral and regional financial institutions to include programmes under the Programme of Action, where appropriate, in:</p> <ul style="list-style-type: none"> <li>(a) reconstruction and rehabilitation efforts in post-conflict areas;</li> <li>(b) in strengthening governance;</li> <li>(c) the strengthening of legislation and the improvement of operational capacity of law enforcement agencies on small arms and light weapons, as well as in</li> <li>(d) the promotion of socio-economic development agendas that include public awareness on small arms and light weapons issues.</li> </ul>
Delete.	
<p>Alternative paragraph:  <u>"This Conference encourages multilateral and regional financial institutions to include, upon request of the recipient countries and in accordance with their priorities and practices, and in conformity with the commitments in the World Summit Outcome, provisions for programmes to implement the Programme Of Action on preventing, combating and eradicating the illicit trade in small arms and light weapons, in all its aspects"</u>.</p>	
Delete	
<p>Make the following change:  " The Conference <u>encourages</u> multilateral and regional financial institutions to <u>consider</u> programmes under the Programme of Action, where appropriate, in:</p> <ul style="list-style-type: none"> <li>(a) reconstruction and rehabilitation efforts in post-conflict areas;</li> <li>(b) in strengthening governance;</li> <li>(c) the strengthening of legislation and the improvement of operational capacity of law enforcement agencies on small arms and light weapons, as well as in...</li> </ul> (delete rest)	
III.18	<p>18. States are encouraged to support, upon request, efforts to mainstream action to eliminate illicit small arms and light weapons and integrate armed violence prevention programmes, as appropriate, in national and local plans and strategies related to national security, development, poverty reduction, crime prevention and post-conflict reconstruction.</p>

Replace this paragraph with:

"States and appropriate international and regional organisations in a position to do so should, upon request of relevant authorities, actively consider rendering assistance including financial assistance, in capacity building to further support the implementation of the Programme of Action and its Outcome document of the Review Conference, based on the stated priorities and policies of the national government and relevant stakeholders, and based on concrete security and development needs assessments at the national, local and community level. Such programmes could include:

(a) National action plans for preventing and combating the illicit trade in small arms and light weapons in all its aspects, including the prevention of armed violence; and

(b) Small arms control and armed violence reduction programmes that are part of national development frameworks and poverty reduction strategies."

Add the following text:

"...as appropriate, in national and local plans and strategies related to national security, development, poverty reduction, crime prevention and post-conflict reconstruction from a perspective of human security. "

Make the following changes:

"States are encouraged to support, upon request, efforts to mainstream action to eliminate illicit small arms and light weapons and integrate armed violence prevention programmes, as appropriate, in national and local plans and strategies related to national security, development, poverty reduction, crime prevention and post-conflict reconstruction. These programmes should also focus on human, social and economic costs of armed violence, as well as the specific risks and vulnerabilities faced by both, women and men."

18.bis:

"States, United Nations entities and financial institutions, should consider to support the development and strengthening of the capacities of national institutions and local civil society networks for advocacy, protection and rehabilitation of children affected by armed conflict to ensure the sustainability of local child-protection initiatives; (from Paragraph 17 of the UNSC/RES 1612 (2005));"

<p>Make the following changes:          "States are encouraged to support, upon request, efforts to <u>mainstream action to implement the Programme of Action</u> as appropriate, in national and local plans and strategies related to national security, development, poverty reduction, crime prevention and post-conflict reconstruction."</p>	
<p>Delete.</p>	
<p>Replace current paragraph with:  <u>"States and appropriate international and regional organisations in a position to do so should, upon request of relevant authorities, actively consider rendering assistance including financial assistance, in capacity building to further support the implementation of the Programme of Action and its Outcome document of the Review Conference, based on the stated priorities and policies of the national government and relevant stakeholders, and based on concrete security and development needs assessments at the national, local and community level. Such programmes could include:</u>  <u>(a) National actions plans for preventing and combating the illicit trade in small arms and light weapons in all its aspects, including the prevention of armed violence;</u>  <u>and</u>  <u>(b) Small arms control and armed violence reduction programmes that are part of national development frameworks and poverty reduction strategies.</u></p>	
<p>III.19</p>	<p>19. States are encouraged to support action-oriented research aimed at facilitating greater awareness and better understanding of the factors fuelling the supply and demand for illicit small arms and light weapons.</p>
<p>Delete.          Redundant to PoA III.18</p>	
<p>Comment:          Incorporate this article into Article 21 of this Section(III) on the support of action-oriented research.</p>	
<p>Delete</p>	
<p>III.20</p>	<p>20. States request UNIDIR, in collaboration with relevant United Nations agencies, to carry out a study on the financial and technical needs of developing countries for the full implementation of the Programme of Action to be submitted, for consideration and further action, to the next formal meeting of States to consider the implementation of the Programme of Action.</p>

Add the following:

"20. States request UNIDIR, in collaboration with relevant United Nations agencies, *with the assistance of States in a position to do so*, to carry out a study on the financial..."

Comments: If such a study leads to additional budget, we have to consider budgetary implication. So this para and its priority should be considered in the section IV. or the text should be revised as above to make clear that it will be funded on a voluntary basis.

Delete.

Make the following change:

"States request UNIDIR, in collaboration with relevant United Nations agencies, to carry out a study on the financial and technical needs of developing countries for the full implementation of the Programme of Action to be submitted, for consideration and further action, to the *2008 Action Implementation Meeting* of States to consider the implementation of the Programme of Action."

III.21

21. Recognizing the importance of reliable information and analysis on all issues related to the illicit trade in small arms and light weapons as a key aspect of the successful implementation of the Programme of Action, States, regional and subregional and international organizations, research centers, health and medical institutions, the United Nations System, international financial institutions and civil society are urged, as appropriate, to strengthen their commitment to the development of and support for action-oriented research, including the development of indicators to measure and assess the negative impact of the illicit trade in small arms and light weapons in all its aspects and to evaluate the effectiveness of programmes to implement the Programme of Action.

Delete end section so that it reads:

"Recognizing the importance of reliable information and analysis on all issues related to the illicit trade in small arms and light weapons as a key aspect of the successful implementation of the Programme of Action, States, regional and subregional and international organizations, research centers, health and medical institutions, the United Nations System, international financial institutions and civil society are urged, as appropriate, to strengthen their commitment to the development of and support for action-oriented research."

Comment:

Require clarification on paragraph 21 as too many disparate bodies are listed here and in particular in relation to the development of indicators.

Delete.

Redundant to PoA III.18

21.bis:

"Recognizing the importance of reliable information and analysis on all key issues related to the illicit trade in small arms and light weapons as a key aspect of the successful implementation of the Program of Action ,states, regional and sub regional and international organizations, research centres,health and medical institutions ,the United Nations system, international financial institutions and civil society are urged ,as appropriate to develop and support action oriented research, including the development of indicators to measure and asses the negative impact of the illicit trade in small arms and light weapons in all its aspects and to evaluate the effectiveness of programmes to implement the Programme of action."

Make the following change:

"Recognizing the importance of reliable information and analysis on all issues related to the illicit trade in small arms and light weapons as a key aspect of the successful implementation of the Programme of Action, States, regional and subregional and international organizations, research centers, health and medical institutions, the United Nations System, international financial institutions and civil society are urged, as appropriate, to strengthen their commitment to the development of and support for action-oriented research."

### **New paragraphs or additional Comments for Section III**

22.bis

"States and appropriate regional and international organizations in a position to do so, such as United Nations Department of Disarmament Affairs and United Nations Development Program should, upon request, render assistance to states with the compilation of voluntary national reports."

23.bis

"States request the Secretary General of the United Nations, within existing resources, through the Department of Disarmament Affairs to produce a reporting framework on needs for assistance, to be submitted, as appropriate with national reports."

## **IV. Follow-up to the United Nations Conference to Review Progress Made in the Implementation of the Programme of Action to Prevent, Combat and Eradicate the Illicit Trade in Small Arms and Light Weapons in All Its Aspects**

IV.1

1. We, the States participating in the Conference recommend to the General Assembly the following agreed steps to be undertaken for the effective follow-up of the Conference:

Change so that it reads:

" We, the States participating in the Conference agree to consider the following steps to be undertaken for the effective follow-up on implementation of the PoA."

IV.2	<p>2. To encourage States to submit in 2007, 2009 and 2011 national reports on a voluntary basis in accordance with the Programme of Action, and to consider incorporating in these national reports, inter alia and where appropriate:</p> <p>(a) steps taken to implement the Programme of Action and the International Tracing Instrument;</p> <p>(b) challenges met in implementing the Programme of Action and the International Tracing Instrument;</p> <p>(c) specific requests for assistance and cooperation.</p>
	<p>Make the following change in the first line so that it reads:</p> <p>"To encourage States and, <u>where appropriate, regional organisations</u> to submit in 2007, 2009, and 2011 reports on a voluntary basis in accordance with the Programme of Action, and to consider incorporating in these reports, inter alia, and where appropriate..."</p>
	<p>Make the following change so that paragraph reads in the following manner:</p> <p>"2. To encourage States to submit in 2007, 2009 and 2011.....:</p> <p>(a). steps taken to implement the Programme of Action, <u>international treaties on the subject</u>, the International Tracing Instrument, <u>and the outcome document of this Review Conference</u>."</p>
	<p>Make the following changes:</p> <p>"To encourage States to submit in 2007, 2009 and 2011 national reports, <u>and where appropriate regional reports</u>, on a voluntary basis in accordance with the Program of Action, and to consider incorporating in these reports, inter alia and where appropriate...."</p>
	<p>Make the following changes to this paragraph:</p> <p>"To encourage States to submit reports on a voluntary basis <u>on their implementation of the Programme of Action and the Instrument on marking and tracing</u>."</p> <p>Delete the rest.</p>
	<p>Comment:</p> <p>Prefer language from President's text of 18 May for paragraph 2.</p>
	<p>Change so that it reads:</p> <p>"To encourage States to <u>submit national reports</u> on a voluntary basis in accordance with the Programme of Action, and to consider incorporating in these national reports, <u>where appropriate</u>:</p> <p>(a) steps taken to implement the Programme of Action and the International Tracing Instrument;</p> <p>(b) challenges met in implementing the Programme of Action and the International Tracing Instrument;</p> <p>(c) specific requests for assistance and cooperation."</p>

Make the following changes:

"States to submit in 2007, 2009 and 2011 national reports in accordance with the Programme of Action, and to consider incorporating in these national reports, inter alia and where appropriate: . . ."

- IV.3 3. To convene in 2008 and 2010 a one-week Action Implementation Meeting in New York to consider the further implementation of the Programme of Action. On the basis of the above-mentioned national reports, these meetings will focus in particular on:
- (a) international cooperation and assistance, matching needs with resources;
  - (b) considering progress in the implementation of the Programme of Action, as well as the outcome of this Review Conference;
  - (c) considering progress in the implementation of the International Tracing Instrument.

The Chair's report of each Action Implementation Meeting can serve as a basis for further recommendations by the General Assembly, if appropriate, on implementation of the Programme of Action.

Make the following change:

- "... (a) international cooperation and assistance *including their best practices*, matching needs with resources; (Comment: as a follow-up of this Conference.)
- (b) considering progress in the implementation of the Programme of Action, as well as the outcome of this Review Conference;
  - (c) considering progress in the implementation of the International Tracing Instrument.

The report of each Action Implementation Meeting serves as a basis for further implementation of the Programme of Action.

Make the following change so that this paragraph reads:

"To convene in 2008 and 2010 a one-week Action Implementation Meeting in New York to consider the further implementation of the Programme of Action. On the basis of the above-mentioned national reports, these meetings will focus in particular on:

- (a) international cooperation and assistance, matching needs with resources;
- (b) considering progress in the implementation of the Programme of Action, as well as the outcome of this Review Conference;
- (c) considering progress in the implementation of the International Tracing Instrument."

Make the following changes to this paragraph:

" To convene in 2008 and 2010 a one week Action Implementation Meeting in New York to consider the further *national, regional and global* implementation of the Programme of Action."

Delete the rest.

Comment:

Prefer language from President's text of 18 May for paragraph 3.

Make the following changes:

(d) **make concrete recommendations for consideration of the General Assembly and the Review Conference.**

The report of each Action Implementation Meeting can serve as a basis for further recommendations by the General Assembly, on implementation of the Programme of Action."

Para. 3 bis (Para. 3 of non-paper of 18 May)

*"To establish an Intersessional Programme of Work to provide an exchange of views in preparation for the Action Implementation Meetings."*

Para. 3 tier

*To begin negotiations, not later than 2008, on international legislation, regulations and procedures to ban the transfer of small arms and light weapons to armed non-State actors.*

Delete.

Make the following changes:

"The Chair's report of each Action Implementation Meeting should serve as a basis for further recommendations by the General Assembly on implementation of the Programme of Action."

IV.4

4. To initiate a separate process, within the framework of the United Nations, the issue of illicit small arms and light weapons ammunition in a comprehensive manner, as recommended by the report of the Open-Ended Working Group on Tracing Illicit Small Arms and Light Weapons.

This paragraph should read as follows:

"4. To consider further steps to develop common understanding on the minimum standards on transfer control on small arms and light weapons, including addressing transfer to non-state armed groups and illicit transfer of man-portable air defense systems (MANPADS) after completion of the work, recommended in IV. Section: Follow-up to the United Nations Conference on the illicit Trade in Small Arms and Light Weapons in All Its Aspects of the Programme of Action ."

Comments: We should give priority to transfer control issue, as it was supported by a number of Member States in the general exchange of views. We also pay attention that this topic relates to other crucially important issues, such as brokering, end-user certificate, transfer to non-state actors. As to the original version, the tracing instrument, adopted only 6 months ago, has not yet seen a submission of reports nor a meeting to review the implementation. We are not certain, at this stage, if initiating this "process" is a priority over other important topics. At any rate, the meaning of "separate" should be clarified

Delete

Make the following change:

"To address, as part of a separate process conducted within the United Nations framework, the issue of illicit small arms and light weapons ammunition in a comprehensive manner, as recommended by the report of the Open-Ended Working Group on Tracing Illicit Small Arms and Light Weapons."

Make the following change:

"To initiate a separate process, within the framework of the United Nations, to examine the issue of illicit small arms and light weapons ammunition in a comprehensive manner, as recommended by the report of the Open-Ended Working Group on Tracing Illicit Small Arms and Light Weapons."

Make the following change:

"To initiate a separate and coordinated process, within the framework of the United Nations, on the issue of illicit small arms and light weapons ammunition in a comprehensive manner, as recommended by the report of the Open-Ended Working Group on Tracing Illicit Small Arms and Light Weapons, in view of the fact that ammunition is an integral part of the issue of small arms and light weapons."

Add the following:

"To initiate a separate process, within the framework of the United Nations, to address the issue of illicit small arms and light weapons ammunition in a comprehensive manner, as recommended by the report of the Open-Ended Working Group on Tracing Illicit Small Arms and Light Weapons."

Delete.

Delete the word "illicit" in this paragraph (line 2 of President's text)

IV.5 5. To convene in 2012 a Conference in New York to review implementation of the United Nations Programme of Action, as well as the International Tracing Instrument. This Conference will be prepared by a two-day Preparatory Committee.

Delete this paragraph.

Reintroduce paragraph 8 from President's Non Paper 18 May:

*"To convene a conference, no later than 2012, the date and venue to be decided at the xxx session of the General Assembly, to review implementation of the Programme of Action and the pursuant recommendations in the outcome document of this review conference, and to consider any adjustments needed in the strategy for implementation of the Programme of Action, as well as other appropriate instruments relevant at the time of convening the conference."*

Make the following change:

"To convene in 2012 a Conference in New York to review implementation of the United Nations Programme of Action, as well as the International Tracing Instrument. This Conference will be prepared by a two-week Preparatory Committee."

Make the following changes to this paragraph:

"To convene no later than 2012 a Conference in New York to review progress made in the implementation of the Programme of Action as well as International Tracing Instrument, the date and venue to be decided by the 64th Session of the General Assembly ."

Make the following change:

"To convene in 2012 a Conference in New York to review implementation of the United Nations Programme of Action, as well as the International Tracing Instrument."  
"

Make the following change:

"To convene in 2012 a Conference in New York to review implementation of the United Nations Programme of Action, as well as the International Tracing Instrument. This Conference will be prepared by a five day Preparatory Committee."

<p>Delete.</p>
<p>Make the following change:          "To convene in 2012 a Conference in New York to review implementation of the United Nations Programme of Action, as well as the International Tracing Instrument. This Conference will be <u>preceded</u> by a two-day Preparatory Committee."</p>
<p><b>Comments or Additional Paragraphs for Section IV</b></p>
<p>Add document A/CONF.192/206/RC/WP.2 as an annex to the final document.</p>
<p>Comment:          Support recommendations made by other delegations on reinstating a reference to initiating a process on ammunition controls.</p>
<p>Insert new para 6 to read:  <u>"to initiate a separate process within the framework of the United Nations, the issue of transfer control guidelines in a comprehensive manner as agreed at the Nairobi Multilateral Conference of 21st April 2006(A/CONF.192/2006/RC/WP.2)"</u></p>
<p>There is an agreement among this group of Member States that they should send a strong supportive message in favour of the current draft indicating scarce flexibility in this regard.</p> <p>It was pointed out that this group's proposals should be action oriented to accommodate others concerns (strengthening paragraph 3)</p>

To be inserted after IV.3:

"To encourage States to establish an informal intersessional programme of work to provide an exchange of views on the implementation of the Programme of Action, for consideration during formal meetings of States."

Rationale:

Implementation of the Programme of Action would be accelerated by undertaking focussed, results oriented work in an informal setting, between formal meetings of States. Among other things, a resource mobilization strategy would be developed to generate the funds required to fully implement the Programme of Action.

The aim of the intersessional programme of work would be to generate ideas and suggestions for consideration by States during formal meetings. It would, thus, be complementary to, and not a substitute for, formal meetings of States.

The intersessional programme of work would be funded by States on a voluntary basis.

Intersessional meetings would be inclusive. UN agencies, international organizations and NGOs would be encouraged to participate in an advisory capacity.

It is recommended that a voluntary sponsorship programme

be established to ensure participation by all interested States in the intersessional programme of work